

Memorandum

TAB 34

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 25, 2014

Reference No.: 3.10
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: James E. Davis, Chief
Division of Project Management

Subject: **FY 2013-14 THIRD QUARTER PROJECT DELIVERY REPORT**

Attached is the California Department of Transportation's Fiscal Year 2013-14 Third Quarter Project Delivery Report.

Attachment



Third Quarter Fiscal Year 2013-14 Project Delivery Report

**Quarterly Report to the
California Transportation
Commission**



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The Project Delivery Report is prepared quarterly in December, March, June, and September pursuant to California Transportation Commission (CTC) Resolution G-92-12. The Department of Transportation (Caltrans) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery during the year and to compare against past years.

Note 1: All costs shown are in \$1,000's unless noted otherwise.

Note 2: Abbreviations and acronyms are listed in the appendix.

Executive Summary

Third Quarter - FY 2013-14

FY 2013-14 Contract for Delivery

For fiscal year (FY) 2013-14, the dollar value of projects in the Contract for Delivery is \$2,524 million. Through the end of the third quarter, FY 2013-14, Caltrans delivered a total of 103 (47 percent of annual plan) highway construction contracts with an estimated value at ready to list of \$897 million.

Program Delivery Summary

Through the end of the third quarter, FY 2013-14, Caltrans has delivered:

- A total of 331 projects valued at \$1,350 million from all funding programs.
- A total of 12 (60 percent of annual plan) programmed State Transportation Improvement Program (STIP) highway construction contracts valued at \$99 million (33 percent of annual plan).
- A total of 96 (48 percent of annual plan) programmed State Highway Operations and Protection Program (SHOPP) highway construction contracts valued at \$704 million (40 percent of annual plan).

Past Years' Contracts for Delivery Award Status

Through the end of the third quarter, FY 2013-14, Caltrans has awarded:

- 161 projects out of 170 (95 percent) from the FY 2012-13 Contract for Delivery.
- 278 projects out of 279 (99 percent) from the FY 2011-12 Contract for Delivery.

Environmental Document Milestones

In FY 2013-14, the planned total number of environmental document milestones is 262. Caltrans delivered 154 (75 percent of annual plan) final environmental documents and 35 (61 percent of annual plan) draft environmental documents.

Right of Way Program

In FY 2013-14, Caltrans received a right-of-way allocation of \$195 million dollars. The plan was subsequently reduced to \$172 million. Through the end of the third quarter, Caltrans expended \$127 million (74 percent of revised annual plan).

Construction Program

There are 608 on-going construction contracts valued at \$11,210 million.

Report on Completed Projects

Through the end of the third quarter, FY 2013-14, Caltrans has completed:

- A total of 29 STIP projects. The total amount of State funds that were approved by the commission for these projects was \$1,008 million. The actual cost of the projects completed was \$927 million which is 92 percent of the approved funds.
- A total of 138 SHOPP projects. The total amount of State funds that were approved by the commission for these projects was \$1,806 million. The actual cost of the projects completed was \$1,450 million which is 80 percent of the approved funds.

FY 2013-14 Contract for Delivery

Each year, the Caltrans Director signs a contract with each of the Caltrans' 12 district directors committing ready-to-list (RTL) milestones for delivery by quarter.

The Contract for Delivery is Caltrans' FY plan and includes programmed projects and projects funded from other sources including maintenance, toll bridge, and partnership projects. The contract is not subject to change, so it does not include program amendments, emergency, or minor program projects.

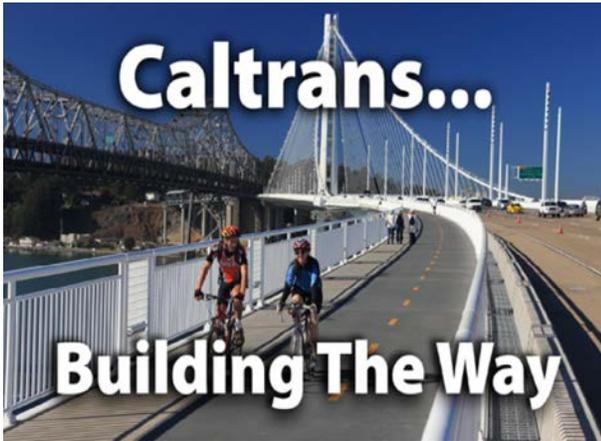
The total estimated value at the "Ready To List" delivery milestone for all 219 projects in the FY 2013-14 Contract for Delivery is \$2,524 million.

96 out of 118 projects planned in the first three quarters were delivered. In addition, seven projects planned in a future quarter were delivered early.

The status of the 14 projects that were not delivered as planned in the first three quarters is as follows:

- Six projects have subsequently been delivered.
- One project is at risk due to securing coastal commission permit.
- Three projects are finalizing plan and specification details and right of way.
- Four projects are delayed due to staffing workload peaks.

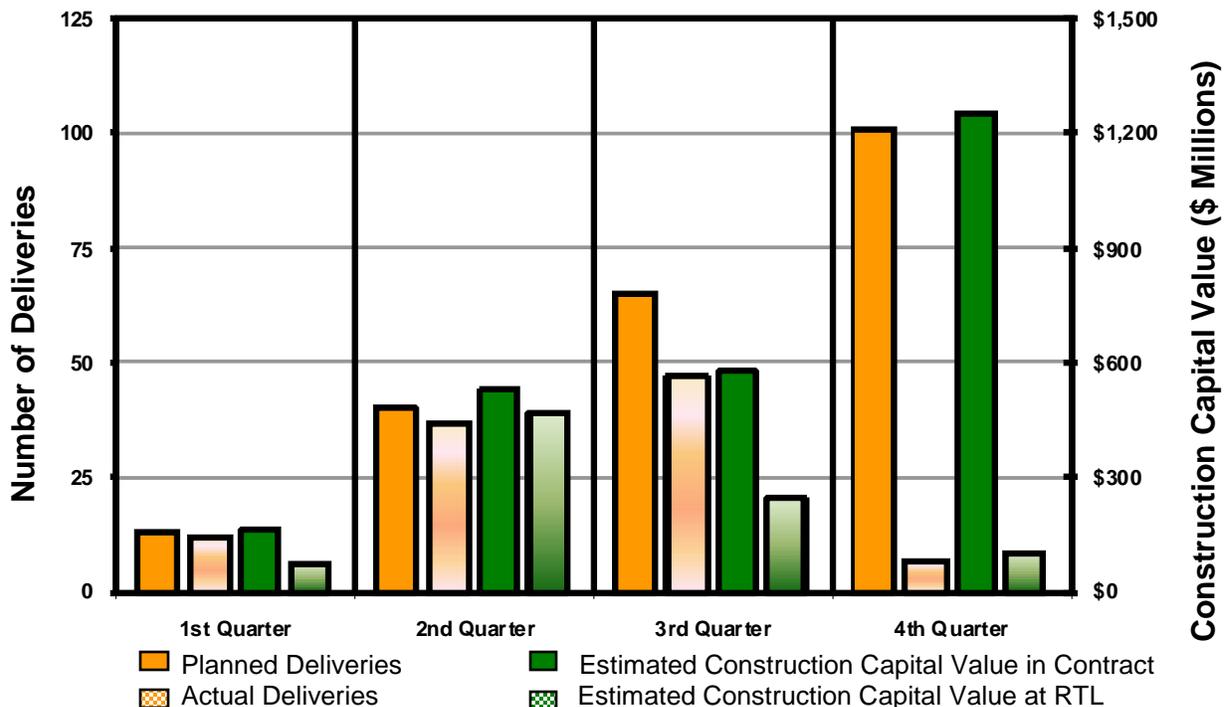
STATUS AS OF MARCH 31, 2014



STATEWIDE Contract for Delivery FY 2013-14

Ready to List (RTL) Milestone Delivery

Description	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
NUMBER OF DELIVERIES					
Planned	13	40	65	101	219
Actual	12	37	47	7	103
CONSTRUCTION CAPITAL VALUE (\$ MILLIONS)					
Estimate in Contract	\$ 163.3	\$ 528.7	\$ 578.2	\$ 1,254.0	\$2,524.2
Estimated at RTL	\$ 74.5	\$ 470.7	\$ 246.9	\$ 104.4	\$ 896.5





The California Department of Transportation Contract for Delivery! FY 2013/2014

3rd Quarter Delivery Report 65 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (~1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	0A360	SHOPP	HUM	299	\$3,039	\$1,015	\$864	CURVE IMPROVEMENT.		★	AADD		07/23/14
1	0B000	SHOPP	LAK	20	\$23,494	\$727	\$714	REHABILITATE PAVEMENT.		★	AADD		09/15/14
1	0B080	SHOPP	DN	101	\$3,207	\$200		REHABILITATE PAVEMENT.		02/15/14	AADD	03/01/14	09/15/14
1	46480	SHOPP	HUM	101	\$5,500	\$3,199	\$5,364	REALIGN ROADWAY		★	AADD	★	05/15/14
1	48860	SHOPP	LAK	20	\$3,900	\$1,189		CONSTRUCT ROUNDABOUT.		03/01/14	AADD	03/08/14	09/15/14
2	0E360	SHOPP	TEH	5	\$6,000	\$1,240	\$1,363	REBUILD N/B & S/B FACILITIES AT CORNING SAFETY ROADSIDE REST AREA(SRRA)	★	★		03/25/14	08/20/14
2	3C071	SHOPP	LAS	395	\$16,500	\$1,740	\$1,571	REHABILITATE ROADWAY.		★	AADD	★	06/20/14
2	3E790	SHOPP	TRI	299	\$4,400	\$1,973	\$2,223	CURVE IMPROVEMENT.		★	AADD		10/01/14
2	4F100	SHOPP	PLU	70	\$1,655	\$270	\$286	PLACE ROCK SLOPE PROTECTION.		★	AADD	★	06/10/14
2	4F410	SHOPP	SIS	96	\$1,410	\$300	\$370	EXCAVATE THE ROCK SLOPE TO CREATE A LARGER CATCHMENT AREA.		★	AADD	★	08/13/14
3	2F000	SHOPP	VAR	000	\$7,244	\$706	\$990	UPGRADE GUARDRAIL END TREATMENTS.		★	AADD	★	08/01/14
3	3E110	SHOPP	NEV	80	\$2,380	\$450	\$249	PAINT BRIDGES.			AADD		08/01/14
3	3F770	SHOPP	SUT	99	\$2,600	\$535	\$139	INSTALL SHOULDER AND CENTERLINE RUMBLE STRIPS		★	AADD	★	08/01/14
4	01410	SHOPP	ALA	80	\$16,000	\$9,365	\$3,282	SFOBB MAINTENANCE WAREHOUSE PHASE 2		★	AADD	★	09/15/14
4	0G750	SHOPP	SOL	113	\$378	\$155	\$312	CONSTRUCT DOUBLE THRIE BEAM BARRIER.		★	AADD	★	08/01/14
4	15272	LOCAL	CC	4	\$13,500	\$3,785	\$4,460	INSTALL RAMP METERS AND TOS ELEMENT WIDEN ON-RAMPS TO PROVIDE HOV			AADD		09/27/14
4	1SS04	SHOPP	ALA	580	\$1,690	\$1,304		INSTALL TIEBACK RETAINING WALL.		★	AADD	03/28/14	09/15/14
4	1SS05	SHOPP	ALA	580	\$3,200	\$1,612	\$1,365	INSTALL SOLDIER BEAM TIEBACK WALL.		★	AADD		09/15/14
4	29494	STIPW/ LOCAL	ALA	24	\$3,700	\$410	\$484	ROADWAY PLANTING		★	AADD	★	05/05/14
4	2G362	LOCAL	ALA	92	\$1,100	\$420	\$699	REPLACEMENT PLANTING ANG IRRIGATION		★	AADD		05/29/14
4	2G860	SHOPP	ALA	580	\$2,000	\$1,050	\$1,144	REBUILD EMBANKMENT AND INSTALL SUB-DRAINS.		★	AADD		09/10/14
4	3G750	SHOPP	SM	92	\$271	\$500	\$521	INSTALL ROCK SLOPE PROTECTION AND DRAINAGE.		★	AADD		09/09/14

THIRD QUARTER JANUARY 1 - MARCH 31

Status as of 3/31/2014



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- MTC - Metropolitan Transportation Commission
- PE - Preliminary Engineering
- Ph2 Ret - Phase 2 Retrofit
- RA - Recovery Act
- Retro-SW - Retrofit Soundwall
- RM2 - Regional Measure 2
- STIPP - State Transportation Improvement Program
- TCIP - Trade Corridors Improvement Program
- TCRP - Traffic Congestion Relief Program
- TOLL - Other Toll
- TOLL-R - Toll Retrofit
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- SHOPP - State Highway Operation Protection Prog.
- B-SHOPP - Bond - State Highway Operations Protection Program Augmentation

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- Completed Ahead of Schedul
- Behind Schedule
- To Be Completed/Awarded
- PE Support <= 80% of Budget
- PE Support >= 120% of Budge
- Awarded
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- Future RTL Status Date
- PE Support Within Budget



The California Department of Transportation Contract for Delivery! FY 2013/2014

3rd Quarter Delivery Report 65 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT ('1000's)	ACTUAL PE SUPPORT ('1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
5	0Q590	SHOPP	SCR	9	\$2,543	\$1,964		STORM WATER MITIGATION AT VARIOUS LOCATIONS.		03/15/14	AADD	03/15/14	09/15/14
5	0S790	SHOPP	SLO	101	\$2,950	\$879	\$599	PAVEMENT PRESERVATION	★		AADD		06/09/14
5	0T070	STIP	SB	101	\$1,250	\$365		INSTALL NATIVE TREES AND SHRUBS.			AADD	02/01/14	
5	0T760	SHOPP	MON	1	\$617	\$480		PAVE WITH OPEN GRADED ASPHALT TO REDUCE WET PAVEMENT COLLISIONS.		★	AADD	03/01/14	02/05/14
5	1C180	SHOPP	SCR	17	\$3,558	\$963	\$813	WIDEN SHOULDER AND ADD SAFETY BARRIER.		★	AADD	★	★
5	1C300	SHOPP	MON	1	\$485	\$434	\$202	INSTALL RUMBLE STRIP		★	AADD	★	07/01/14
5	1C330	SHOPP	MON	101	\$3,150	\$782	\$496	CONSTRUCT MEDIAN BARRIER.			AADD		05/21/14
5	1C340	SHOPP	SB	101	\$1,412	\$760	\$412	CONSTRUCT MEDIAN BARRIER AND SHOULDER RUMBLE STRIPS.		★	AADD	★	04/14/14
5	33076	STIP	SLO	46	\$1,250	\$410	\$204	LANDSCAPE MITIGATION.		★	AADD	★	06/06/14
6	0E680	STIP	MAD	99	\$500	\$152	\$190	INSTALL AESTHETIC BRIDGE ENHANCEMENTS AT VARIOUS LOCATIONS.		★	AADD	★	07/18/14
6	0M820	SHOPP	FRE	41	\$1,443	\$462	\$618	UPGRADE GUARDRAIL.		★	AADD	★	07/15/14
6	0P170	SHOPP	TUL	99	\$6,731	\$662	\$455	REPAIR AND OVERLAY PAVEMENT.		★	AADD	★	08/01/14
6	0P300	SHOPP	KER	99	\$1,443	\$440	\$454	WIDEN SHOULDER AND INSTALL RUMBLE STRIP.		★	AADD	★	06/10/14
6	0P560	SHOPP	KER	58	\$2,252	\$823	\$600	INSTALL MEDIAN RUMBLE STRIPS AND WIDEN OUTSIDE SHOULDERS.			AADD		08/01/14
6	0P840	SHOPP	KER	223	\$3,652	\$764	\$599	INSTALL MEDIAN RUMBLE STRIPS AND WIDEN OUTSIDE SHOULDERS.			AADD		08/01/14
6	0Q250	SHOPP	KIN	198	\$5,699	\$566		PAVEMENT PRESERVATION CAP-M		02/15/14	AADD	03/15/14	08/14/14
7	21592	STIP	LA	5	\$131,854	\$47,712		WIDEN WITH HOV AND MIXED FLOW LANES (SEGMENT 2).		03/14/14	09/27/13	03/28/14	09/05/14
7	27570	SHOPP	LA	103	\$7,475	\$1,380		PAINT BRIDGE.			10/18/13	01/24/14	11/18/14
7	28810	SHOPP	LA	210	\$56,380	\$1,972		LANE REPLACEMENT		12/02/13	AADD	02/14/14	07/30/14
7	29300	SHOPP	LA	210	\$18,610	\$1,445		SLAB REPLACEMENT AND COLD PLANE AND OL RAMPS AND AUX LANE.		12/02/13	AADD	02/14/14	07/30/14
7	30230	SHOPP	LA	210	\$50,000	\$1,520		LANE REPLACEMENT		12/02/13	AADD	02/14/14	07/30/14
7	3X710	SHOPP	LA	60	\$6,000	\$3,409		REALIGN RAMPS AND APPROACHES TO MATCH NEW OVERCROSSING AND RELOCATE UTILITIES.		03/20/14		03/28/14	08/13/14

THIRD QUARTER JANUARY 1 - MARCH 31

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The California Department of Transportation Contract for Delivery! FY 2013/2014

3rd Quarter Delivery Report 65 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT ('1000's)	ACTUAL PE SUPPORT ('1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
8	OJ930	SHOPP	SBD	15	\$1,340	\$831		CONSTRUCT FOOTINGS AND INFILL WALLS AT BRIDGE BENTS.		★	AADD	03/28/14	08/21/14
8	OP280	SHOPP	RIV	60	\$1,055	\$540	\$753	SIGNALIZE AND REALIGN LEFT TURN LANES.			AADD	★	07/31/14
8	3348V	STIP	RIV	215	\$1,369	\$830	\$500	REPLACEMENT HIGHWAY PLANTING		★	AADD	★	07/11/14
8	33630	SHOPP	SBD	38	\$1,601	\$1,751	\$2,177	REPLACE BRIDGE DECK AND UPGRADE BRIDGE RAIL, BRIDGE APPROACH RAILS AND SLABS.			AADD		06/26/14
8	3401U	STIP	SBD	138	\$56,844	\$16,839	\$22,543	WIDEN FROM 2 TO 4 LANES AND CONSTRUCT 4 FOOT MEDIAN BUFFER.					07/10/14
8	38350	SHOPP	RIV	74	\$2,857	\$2,725	\$3,229	REPLACE BRIDGE.		★	★		05/22/14
9	34650	SHOPP	MNO	266	\$1,465	\$760		REALIGN CURVE AND WIDEN SHOULDERS.		★	AADD	03/15/14	07/01/14
10	0F740	SHOPP	MER	5	\$3,500	\$570	\$639	BRIDGE REHABILITATION		★	AADD		06/11/14
10	0K021	SHOPP	MER	99	\$826	\$673	\$378	LANDSCAPE MITIGATION		★	AADD	★	06/01/14
10	0S870	SHOPP	STA	4	\$465	\$782	\$606	RUMBLE STRIPS AND SHOULDER WIDENING.			AADD	★	05/19/14
10	0V490	SHOPP	TUO	108	\$1,200	\$696	\$275	STORM WATER MITIGATION		★	AADD	★	06/01/14
10	0V680	SHOPP	STA	120	\$4,997	\$774	\$341	REHABILITATE PAVEMENT.			AADD		07/02/14
10	0W690	SHOPP	SJ	4	\$3,047	\$1,566	\$160	TREAT BRIDGE DECKS	★		AADD		07/15/14
11	23840	SHOPP	IMP	7	\$11,397	\$1,481		OVERLAY PAVEMENT FROM THE MEXICAN BORDER TO ROUTE 98			AADD	01/17/14	06/19/14
11	27550	SHOPP	SD	67	\$22,005	\$809		REHABILITATE ROADWAY			AADD	03/07/14	09/11/14
11	29820	LOCAL	SD	905	\$4,650	\$600	\$628	ROADWAY REHABILITATION		★	AADD	★	06/06/14
11	2T250	LOCAL MEASUR	SD	805	\$8,000	\$1,750	\$1,599	OUTSIDE WIDENING.		★	AADD	★	06/20/14
11	40440	STIP	IMP	78	\$1,400	\$200	\$539	LANDSCAPE MITIGATION		★	AADD		09/26/14
11	40660	SHOPP	SD	76	\$10,209	\$1,029	\$886	CONSTRUCT MEDIAN BARRIER		★	AADD		07/02/14
12	0H900	SHOPP	ORA	55	\$1,200	\$280	\$266	CONSTRUCT ROADSIDE PAVING, ACCESS GATES, WEED BARRIERS AND RELOCATE		★	AADD	★	07/15/14
12	0J61U	SHOPP	ORA	5	\$6,348	\$1,056	\$1,031	SLOPE REVEGETATION		★	AADD		06/07/14
					\$578,197	\$137,031	\$68,592						

THIRD QUARTER JANUARY 1 - MARCH 31

Status as of 3/31/2014



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- PE Support <= 80% of Budget
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- Future RTL Status Date
- PE Support Within Budget



The California Department of Transportation Contract for Delivery! FY 2013/2014

2nd Quarter Delivery Report 40 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT ('1000's)	BUDGETED PE SUPPORT ('1000's)	ACTUAL PE SUPPORT ('1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	49650	SHOPP	HUM	101	\$2,143	\$890	\$483	HAZARDOUS WASTE MITIGATION AT THE FORTUNA MAINTENANCE STATION.		★	AADD		05/01/14
1	49710	SHOPP	MEN	271	\$505	\$348	\$460	HAZARDOUS WASTE MITIGATION AT THE LEGGETT MAINTENANCE STATION.		★			05/15/14
2	4E410	SHOPP	TRI	299	\$9,410	\$800	\$495	REHABILITATE PAVEMENT.		★	AADD		★
2	4F450	SHOPP	SHA	5	\$1,920	\$370	\$382	IMPROVE ROADWAY DRAINAGE.		★	AADD		06/14/14
3	1A842	SHOPP	ED	89	\$18,000	\$5,639	\$6,328	STORM WATER QUALITY IMPROVEMENTS.		★	AADD		06/15/14
3	1F280	STIP	GLE	5	\$640	\$125	\$69	NATIVE TREE PLANTING.		★	AADD	★	
3	1F290	STIP	COL	5	\$640	\$125	\$57	NATIVE TREE PLANTING.		★	AADD	★	
3	4M200	SHOPP	VAR	000	\$890	\$608	\$811	SCOUR MITIGATION.		★	AADD		04/01/14
4	1A551	SHOPP	SF	280	\$17,808	\$2,555	\$2,129	REPLACE BRIDGE RAILINGS AT VARIOUS LOCATION.			AADD	★	02/03/14
4	3G443	OTHER TOLL	SF	80	\$3,150	\$1,060	\$587	REPLACE GRATING SHIELDS, LADDERS, PLATFORMS, AND GREASE LINES			AADD		03/17/14
4	3G484	OTHER TOLL	CC	580	\$45,000	\$1,500	\$1,020	STRUCTURAL STEEL PAINTING			AADD		02/03/14
5	0R530	SHOPP	SLO	1	\$1,315	\$1,772	\$1,530	UPGRADE SIDEWALK TO MEET ADA COMPLIANCE.			AADD		04/23/14
5	49280	SHOPP	SLO	1	\$43,600	\$8,633		REALIGN ROUTE.	08/08/13	05/21/13	10/03/13	03/06/14	
6	0M020	STIP	FRE	168	\$1,553	\$703	\$1,306	PROVIDE VIEWING DECK, AND ADD INTERPRETIVE DISPLAYS AND PEDESTRIAN		★	AADD		04/01/14
6	0P160	SHOPP	KIN	198	\$7,542	\$808	\$594	REHABILITATE PAVEMENT.			AADD	★	★
7	18312	SHOPP	LA	710	\$1,934	\$403	\$547	LANDSCAPE MITIGATION.			AADD	11/15/13	04/17/14
7	27310	SHOPP	LA	110	\$9,135	\$1,810	\$1,431	REPLACE JOINT SEALS AND REPAIR CONCRETE SPALLS.		★	AADD	★	08/04/14
7	28420	STIP	LA	5	\$1,054	\$191	\$412	VINE PLANTING.			AADD		
7	28450	STIP	LA	14	\$2,544	\$458	\$451	INSTALL LIGHTING, NATIVE VEGETATION, AND INTERPRETIVE SIGNS, AND PROVIDE STORMWA			AADD		05/09/14
7	28850	SHOPP	LA	405	\$4,536	\$1,203		IN CARSON, AT THE NORTHBOUND CARSON WEIGH STATION. UPGRADE WEIGH STATION.				12/20/13	05/15/14
7	29470	SHOPP	LA	101	\$55,000	\$1,913	\$841	PAVEMENT REHAB			AADD		07/01/14
7	29490	SHOPP	LA	5	\$15,473	\$1,680	\$399	PAVEMENT REHAB			AADD		07/01/14

SECOND QUARTER OCTOBER 1 - DECEMBER 31

Status as of 3/31/2014



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- RM2 - Regional Measure 2
- STIPP - State Transportation Improvement Program
- TCIP - Trade Corridors Improvement Program
- TCRP - Traffic Congestion Relief Program
- TOLL - Other Toll
- TOLL-R - Toll Retrofit
- VAR - Various
- SHOPP - State Highway Operation Protection Prog.
- B-SHOPP - Bond - State Highway Operations Protection Program Augmentation

- Completed
- Completed Ahead of Schedule
- Behind Schedule
- To Be Completed/Awarded
- PE Support <= 80% of Budget
- PE Support >= 120% of Budget
- Awarded
- Awarded Ahead of Schedule
- Awarded Behind Schedule
- PE Support Within Budget
- Future RTL Status Date



The California Department of Transportation Contract for Delivery! FY 2013/2014

2nd Quarter Delivery Report 40 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (~1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
7	29500	SHOPP	LA	10	\$15,900	\$1,508	\$619	REPLACE SLAB AND GRIND	★		AADD		07/01/14
7	29550	SHOPP	LA	101	\$17,865	\$1,723	\$791	PAVEMENT REHAB	★		AADD		07/01/14
7	29560	SHOPP	LA	5	\$21,713	\$2,260	\$1,085	PAVEMENT REHAB	★		AADD		07/01/14
7	29570	SHOPP	LA	605	\$25,138	\$3,463	\$1,047	PAVEMENT REHAB			AADD		07/01/14
7	29670	SHOPP	VEN	118	\$16,310	\$1,106	\$701	PAVEMENT REHAB			AADD		07/01/14
7	29680	SHOPP	LA	405	\$23,280	\$2,718	\$532	PAVEMENT REHAB	★		AADD		07/01/14
7	29700	SHOPP	LA	138	\$16,700	\$1,220	\$463	PAVEMENT REHAB	★		AADD		07/01/14
8	0J850	SHOPP	SBD	138	\$5,109	\$2,333	\$2,757	REPLACE BRIDGE.		★			06/11/14
8	0Q600	SHOPP	SBD	2	\$260	\$295	\$329	MODIFY LEVEE AND PLACE RIP RAP.					03/03/14
9	35310	SHOPP	MNO	395	\$3,466	\$324	\$215	COLD IN-PLACE RECYCLE AND OVERLAY PAVEMENT		★	AADD		03/01/14
10	0J920	SHOPP	SJ	12	\$3,963	\$1,115	\$2,300	REPLACE CONTROL HOUSE					06/11/14
10	38151	SHOPP	MER	165	\$5,027	\$4,046	\$1,721	REHABILITATE PAVEMENT			AADD	★	
11	25715	OCAL MEASUR	SD	76	\$100,000	\$13,098	\$15,145	CONSTRUCT 4-LANE HIGHWAY			AADD		02/15/14
11	26330	STIP	IMP	8	\$22,800	\$4,900	\$5,470	REVISE INTERCHANGE		★	AADD		04/15/14
11	27560	SHOPP	SD	15	\$445	\$536	\$635	AT THE SOUTHBOUND RAINBOW TRUCK INSPECTION FACILITY, INSTALL STANDBY			AADD		02/20/14
11	29230	SHOPP	SD	805	\$1,786	\$319	\$513	SLOPE EROSION REPAIR			AADD		04/25/14
11	2T178	LOCAL	SD	5	\$1,500	\$322	\$345	LANDSCAPE		★	AADD		03/24/14
11	40650	SHOPP	SD	15	\$3,616	\$483	\$498	CONSTRUCT MEDIAN BARRIER, OUTSIDE SHOULDER CABLE BARRIER, RAISED		★	AADD		03/08/14
					\$528,670	\$75,363	\$55,498						

SECOND QUARTER OCTOBER 1 - DECEMBER 31

Status as of 3/31/2014



- AADD - Authority to Advertise District Delegation
- B - CMIA - Bond - Corridor Mobility Improvement Account
- B - RTE99 - P1B SR99 Improvement
- HM - b - Highway Maintenance - bridge
- HM - d - Highway Maintenance - drainage
- HM - p - Highway Maintenance - pavement
- L - Reimb - Local Reimbursed
- M - Reimb - Measure Reimbursed
- MTC - Metropolitan Transportation Commission
- PE - Preliminary Engineering
- Ph2 Ret - Phase 2 Retrofit
- RA - Recovery Act
- Retro-SW - Retrofit Soundwall
- RM2 - Regional Measure 2
- STIPP - State Transportation Improvement Program
- TCIP - Trade Corridors Improvement Program
- TCRP - Traffic Congestion Relief Program
- TOLL - Other Toll
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- SHOPP - State Highway Operation Protection Prog.
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- Completed
- ★ Completed Ahead of Schedule
- Behind Schedule
- To Be Completed/Awarded
- PE Support <= 80% of Budget
- PE Support Within Budget
- PE Support >= 120% of Budget
- Future RTL Status Date
- Awarded
- ★ Awarded Ahead of Schedule
- Awarded Behind Schedule



The California Department of Transportation Contract for Delivery! FY 2013/2014

1st Quarter Delivery Report 13 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (~1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
2	1E060	STIP	MOD	139	\$500	\$206	\$257	INSTALL CCTV (CLOSED CIRCUIT TELEVISION) AND RWIS (ROADSIDE WEATHER)				★	★
2	4E610	SHOPP	TRI	299	\$350	\$207	\$272	REPAIR SLIDES.			AADD		
3	0F220	SHOPP	ED	50	\$6,700	\$861	\$1,221	REPLACE BRIDGE.			AADD		
3	2F21U	SHOPP	SAC	50	\$48,800	\$5,346	\$851	BRIDGE DECK REHABILITATION		★	★	★	
3	3F440	SHOPP	PLA	89	\$2,975	\$400	\$785	INSTALL DRAINAGE FACILITIES.		★	AADD		
4	01352	TBSRP	ALA	80	\$88,400	\$4,500		DISMANTLING OF EXISTING EAST SPAN				07/26/13	10/30/13
5	0T970	SHOPP	SB	246	\$815	\$336	\$390	CONSTRUCT LEFT-TURN CHANNELIZATION.			AADD		★
6	0J920	SHOPP	FRE	145	\$1,207	\$1,388	\$1,749	CONSTRUCT TRAFFIC ROUNDABOUT.			AADD		★
6	0N200	SHOPP	MAD	99	\$2,200	\$549	\$666	CONSTRUCT MEDIAN BARRIER.			AADD		
6	0N360	SHOPP	KER	5	\$1,764	\$335	\$318	REPAIR DAMAGED ROADWAY.			AADD		★
7	29250	SHOPP	VEN	101	\$415	\$416	\$355	INSTALL LEFT-TURN SIGNAL PHASE AND UPGRADE ADA CURB RAMPS.		★			02/03/14
8	0F660	SHOPP	SBD	247	\$8,800	\$4,143	\$3,810	CONSTRUCT SHOULDER.			AADD		03/31/14
8	0P310	SHOPP	SBD	395	\$411	\$583	\$810	INSTALL TRAFFIC SIGNALS.		★	AADD	★	
					\$163,337	\$19,270	\$11,484						

FIRST QUARTER JULY 1 - SEPTEMBER 30

Status as of 3/31/2014



- AADD - Authority to Advertise District Delegation
- B - CMIA - Bond - Corridor Mobility Improvement Account
- B - RTE99 - P1B SR99 Improvement
- HM - b - Highway Maintenance - bridge
- HM - d - Highway Maintenance - drainage
- HM - p - Highway Maintenance - pavement
- L - Reimb - Local Reimbursed
- M - Reimb - Measure Reimbursed
- MTC - Metropolitan Transportation Commission
- PE - Preliminary Engineering
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- Future RTL Status Date
- Awarded
- ★ Awarded Ahead of Schedule
- Awarded Behind Schedule

Program Delivery Summary

This section describes the number and dollar value of all projects delivered by funding programs.

Intercity Rail Program

For FY 2013-14, one Intercity Rail project valued at \$20.5 million is programmed for delivery.

Number of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	1	0	0	0	1
Actual	1	0	0	0	1

Value of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	\$ 20.5	\$ 0.0	\$ 0.0	\$ 0.0	\$ 20.5
Actual	\$ 20.5	\$ 0.0	\$ 0.0	\$ 0.0	\$ 20.5

AB 1740 Retrofit Soundwall Program

All 63 planned projects with a construction value of \$215 million have been delivered within the program budget of \$226 million.

	Delivered		Construction Completed	
Locations	63	100%	62	98%
Value	\$ 215	95%	\$ 211	93%

The balance of \$11 million is being held in reserve pending settlement of any potential claims and closing out of all projects. One of the remaining two projects was completed in the first quarter. The last project under construction has a planned completion date in the fourth quarter of FY 2013-14.

Delivery Summary of All Programs

Through the end of the third quarter, FY 2013-14, Caltrans delivered a total of 331 projects valued at \$1,350 million from all programs.

Projects are shown below by the planned program and dollar value.

Projects by Funding Programs	Number		Value	
	Annual Plan	FYTD	Annual Plan	FYTD
STIP (w TCRP, TFA)	20	12	\$ 299.3	\$ 98.9
SHOPP	200	96	\$ 1,740.6	\$ 703.7
TBSRA	1	0	\$ 88.4	\$ 0.0
Partnership*	19	15	\$ 497.3	\$ 207.0
Minor (CFD)	1	1	\$ 0.3	\$ 0.3
Subtotal	241	124	\$ 2,625.9	\$ 1,009.9
Emergency		34		\$ 51.7
Minor		36		\$ 27.9
Maintenance		137		\$ 260.9
Total		331		\$ 1,350.4

* Partnership funds include all local funds and federal fund subventions given to local agencies.

Detailed Delivery Summary of All Projects by Programs

Programs	Annual Number of Projects			Annual Dollar Value of Projects		
	Plan	Actual	Percent	Plan	Actual	Percent
STIP Program						
STIP (w TCRP, TFA)	19	11	58	\$ 278.8	\$ 78.4	28
Intercity Rail	1	1	100	\$ 20.5	\$ 20.5	100
Advanced** STIP		0			\$ 0.0	
TOTAL STIP	20	12	60	\$ 299.3	\$ 98.9	33
SHOPP (w Augmentation)						
SHOPP (w Augmentation)	188	82	44	\$ 1,673.5	\$ 630.3	38
Amended** SHOPP	12	12	100	\$ 67.1	\$ 67.1	100
Advanced** SHOPP		2			\$ 6.3	
	200	96	48	\$ 1,740.6	\$ 703.7	40
Other ** Programs in Contract						
TBSRA	1	0	0	\$ 88.4	\$ 0.0	0
Partnership	16	12	75	\$ 483.3	\$ 193.0	40
Amended** Partnership	3	3	100	\$ 14.0	\$ 14.0	100
Minor	1	1	100	\$ 0.3	\$ 0.3	100
TOTAL "Other"	21	16	76	\$ 586.0	\$ 207.3	35
Additional ** Programs						
Emergency		34			\$ 51.7	
Minor		36			\$ 27.9	
Maintenance		137			\$ 260.9	
TOTAL "Additional"		207			\$ 340.5	
TOTAL All Programs						
STIP	20	12	60	\$ 299.3	\$ 98.9	33
SHOPP	200	96	48	\$ 1,740.6	\$ 703.7	40
Other	21	16	76	\$ 586.0	\$ 207.3	35
Subtotal	241	124	51	\$ 2,625.9	\$ 1,009.9	38
Additional		207			\$ 340.5	
TOTAL		331			\$ 1,350.4	

**** Notes:**

Additional – Recent projects not in contract. Includes funding reservations.

Amended – Added or deleted to program by amendment.

Advanced – Delivered early from future program year. (Not included in planned numbers)

Other – planned non-STIP/SHOPP projects committed in contract.

Delivery Percentages – Advances in contracts are included in planned figures, other advances are not included in planned figures, but are added to delivered figures.

Due to multiple funding sources on some projects, the sum of contract projects by funding source will exceed the number of planned contract projects.

Historical Program Delivery Comparison

3rd Quarter "Annual Plan" Comparison

Number of STIP Projects

	13-14	12-13	11-12	10-11	09-10
Annual Plan	20	22	31	25	26
FYTD	12	13	17	14	19
Percent	60	59	55	56	73

Value of STIP Projects

	13-14	12-13	11-12	10-11	09-10
Annual Plan	\$ 299	\$ 127	\$ 510	\$ 318	\$ 352
FYTD	\$ 99	\$ 34	\$ 225	\$ 260	\$ 104
Percent	33	27	44	82	30

Number of SHOPP Projects

	13-14	12-13	11-12	10-11	09-10
Annual Plan	200	154	188	247	186
FYTD	96	94	98	164	138
Percent	48	61	52	66	74

Value of SHOPP Projects

	13-14	12-13	11-12	10-11	09-10
Annual Plan	\$1,741	\$ 920	\$1,102	\$2,867	\$1,016
FYTD	\$ 704	\$ 485	\$ 571	\$1,168	\$ 708
Percent	40	53	52	41	70

Total Number of All Projects

	13-14	12-13	11-12	10-11	09-10
FYTD	331	354	297	491	502

Total Value of All Projects

	13-14	12-13	11-12	10-11	09-10
FYTD	\$1,350	\$1,099	\$1,663	\$2,135	\$2,227

Past Years' Contract For Delivery Award Status

This section describes the contract award status projects in past years for the annual Contract for Delivery.

Contract Award Status

Progress continues to be made to get past years' contracts for delivery projects awarded.

Contract Award Status	Plan	Awarded	Percent
FY 2012-13 Contract for Delivery	170	161	95
FY 2011-12 Contract for Delivery	279	278	99
FY 2010-11 Contract for Delivery	346	346	100
FY 2009-10 Contract for Delivery	306	306	100
FY 2008-09 Contract for Delivery	334	334	100
FY 2007-08 Contract for Delivery	294	294	100
FY 2006-07 Contract for Delivery	286	286	100
FY 2005-06 Contract for Delivery	174	174	100

Historical Delivery Comparison

Through the third quarter FY 2013-14, for last year's contract for delivery (FY 2012-13), Caltrans has awarded 161 projects out of 170 projects or 95 percent of the planned projects. As a comparison, as reported a year ago for the same time period, Caltrans had awarded 260 projects out of 279 planned projects or 93 percent.

Contracts Not Yet Awarded

Of the 10 projects not yet awarded, 3 projects are currently out to bid. Issues for award delays on the other projects are as follows:

- 4 projects are being re-scoped and re-bid to match available funding.
- 1 project has not been delivered due to coastal permit issues.
- 1 project is a low priority SRRRA project.
- 1 project is programmed in future year.

Contracts Not Yet Awarded	Number
PROJECTS ALLOCATED	
Project Currently Bid	3
Projects being re-scoped, re-bid	4
PROJECTS NOT ALLOCATED	
Priority, funding	2
Not delivered	1
Total	10

Deleted Contracts

Five FY 12-13 projects delivered have subsequently been deleted from the SHOPP because they are no longer needed or are being substantially rescope.

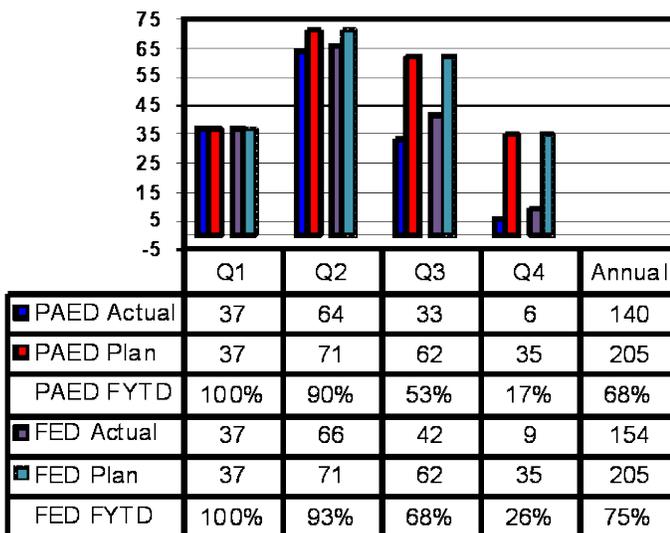
Environmental Document Milestones

Environmental Delivery Commitment

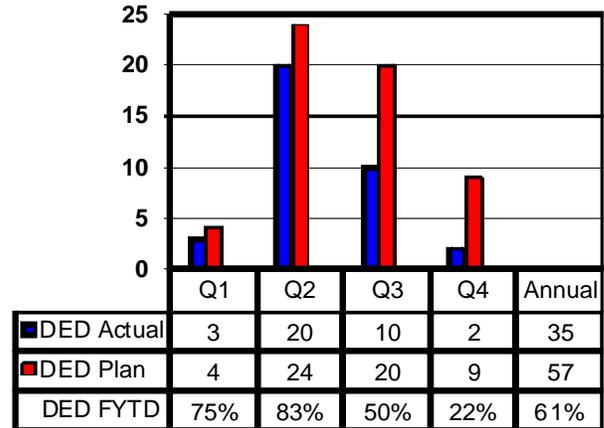
As part of this report, Caltrans reports on delivery for the upcoming year of project approval and environmental milestones that require CTC action for consideration of future funding. The milestones include Draft Environmental Documents (DED), and Project Approval and Environmental Document (PAED) which also includes the Final Environmental Documents (FED). To provide a comprehensive view of environmental documents under development, Caltrans also includes categorical exclusions that do not require CTC review or action. For FY 2013-14, Caltrans has planned delivery of 262 environmental milestones.

For FY 2013-14, through the end of the third quarter, Caltrans delivered 189 (72 percent of annual plan) environmental milestones.

Number of PAED & FED Milestones



Number of DED Milestones



Through the end of the third quarter, six DED and eight PAED planned milestones have slipped outside FY 2013-14.

Historical Delivery Comparisons

As a benchmark for comparison, below are historical environmental milestone delivery trend charts for the current year and past 4 years.

Past 3rd Qtr PAED & FED Milestones

	13-14	12-13	11-12	10-11	09-10
PAED Plan	205	119	167	147	148
PAED FYTD	140	71	128	108	114
PAED Percent	68	60	77	73	77
FED Plan	205	119			
FED FYTD	154	81			
FED Percent	75	68			

Past 3rd Qtr DED Milestones

	13-14	12-13	11-12	10-11	09-10
DED Plan	57	39	44	37	34
DED FYTD	35	19	20	20	19
DED Percent	61	49	45	54	56

Right of Way Program

Right of Way Delivery Commitment

Caltrans' R/W delivery commitment is twofold. One delivery commitment is to utilize funds approved by the CTC for acquisition of R/W. The second delivery commitment is to secure all necessary R/W requirements and to certify R/W for all projects scheduled for delivery in the current year.

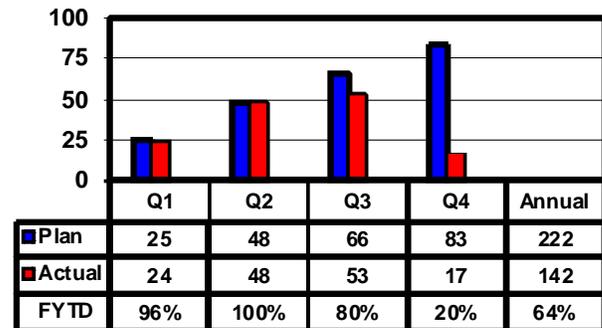
Right of Way Expenditures

R/W activities and expenditures are outlined by the categories below:

Category (\$millions)	Orig Pln	Adj Pln	FYTD	Percent
Capital Projects				
STIP	\$ 90.8	\$ 83.8	\$ 62.4	74
SHOPP	\$ 38.8	\$ 38.8	\$ 48.7	126
Subtotal	\$129.6	\$ 122.6	\$ 111.1	86
Specific Categories				
Post Certifications	\$ 51.0	\$ 34.7	\$ 12.8	37
Inverse Condemnation	\$ 12.5	\$ 13.5	\$ 2.7	20
Project Development	\$ 1.0	\$ 1.0	\$ 0.8	80
Subtotal	\$ 65.5	\$ 49.2	\$ 16.3	25
TOTAL	\$195.1	\$ 171.8	\$ 127.4	74

For FY 2013-14, Caltrans requested and received an original R/W allocation of \$195.1 million. The R/W allocation was subsequently reduced to an adjusted allocation of \$171.8 million. Through the end of the third quarter, Caltrans expended a total of \$127.4 million, 74 percent of the adjusted annual plan.

Right of Way Certifications



For FY 2013-14, the planned number of R/W certifications is 222. Through the end of the third quarter, Caltrans completed a total of 142 R/W certifications (64 percent of the annual plan).

Historical Delivery Comparisons

As a benchmark for comparison, below are historical R/W delivery trend charts for the current year and past 4 years.

Past 3rd Qtr Right of Way Expenditures

	13-14	12-13	11-12	10-11	09-10
Plan	\$171.8	\$227.0	\$217.5	\$219.4	\$237.7
FYTD	\$127.4	\$109.5	\$151.6	\$121.5	\$116.4
Percent	74	48	70	55	49

Past 3rd Qtr Right of Way Certifications

	13-14	12-13	11-12	10-11	09-10
Plan	222	174	275	311	283
FYTD	142	114	200	241	192
Percent	64	66	73	77	68

Construction Program

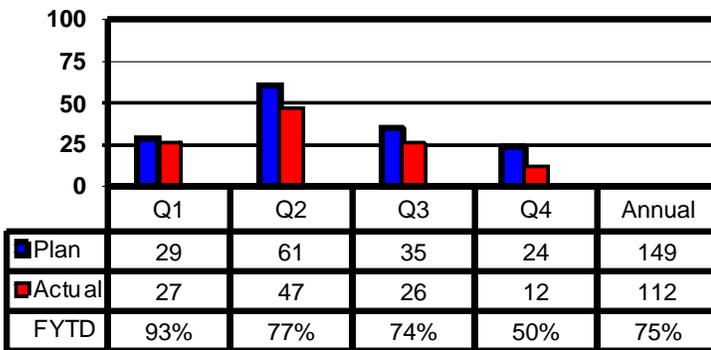
PLANNED CONSTRUCTION PROGRAM

(Excludes some projects such as minor, program amendments and emergency.)

Construction Delivery Commitment

Delivery in the eyes of our customers is achieved when capital improvements are delivered to the traveling public. This is best measured by when the construction contract is accepted.

Planned Construction Contracts Accepted



Through the end of the third quarter, FY 2013-14, Caltrans had accepted a total of 112 major construction contracts (75 percent) out of a total of 149 planned contracts identified in the Caltrans' delivery plan.

Historical Delivery Comparison

As a benchmark for comparison, shown are historical delivery trend charts for planned major construction contract acceptances.

Past 3rd Qtr Construction Contracts Accepted

	13-14	12-13	11-12	10-11	09-10
Plan	149	199	272	216	226
FYTD	112	127	189	138	188
Percent	75	64	69	64	83

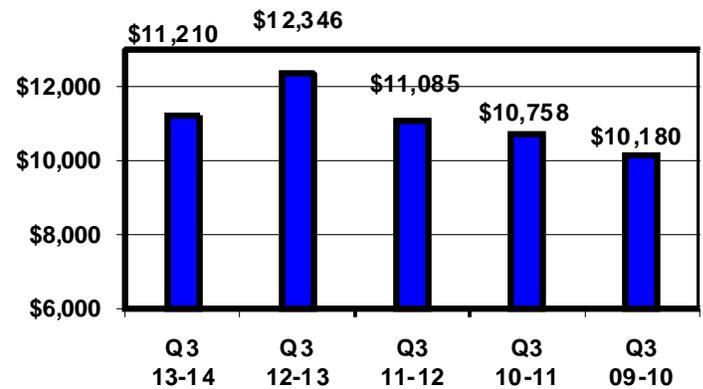
OVERALL CONSTRUCTION PROGRAM

(Includes planned programmed projects and additional minor A, amendments, and some minor B projects that are not programmed.)

Under Construction

At the end of the third quarter, FY 2013-14, Caltrans had 608 contracts valued at \$11,210 million under construction.

Value of Ongoing Contracts (\$ millions)



3rd Quarter Construction Program Results

Construction Starts – 58 construction contracts valued at \$331 million were started (including minor A and some minor B projects that are not programmed).

Accepted Contracts – 80 construction contracts valued at \$494 million were accepted.

Arbitration - Caltrans currently has 24 construction contracts in arbitration. Four new arbitration cases were filed, and two contracts were settled or received an arbitration decision.

Report on Completed Projects

The STIP guidelines require Caltrans to provide the Commission with a report on completed projects. This report provides cost information for projects that Caltrans has accepted the construction contract (CCA milestone).

Cost information at completion consists of all project expenditures to date. The expended costs in this report are compared to the latest approved budgets resulting from actions taken by the commission on each project, including: programmed funds, allocated funds, funds adjusted at vote, supplemental funds, and AB 608 adjustments.

Reporting Program / Project Thresholds

Completed project cost information is presented in the following levels for analysis:

- Program Costs
- STIP / SHOPP Component Groupings
- Individual Components
- Overall Project

Program Costs

At the Program level, total costs are reported for STIP and SHOPP program funds.

STIP / SHOPP Component Groupings

The methodology used to determine the amount of committed funds is based on programmed amounts, allocated funds, or debit and credit adjustments made against county shares in accordance with STIP guidelines.

It should be noted that while some individual components may exceed their approved budget, other components often have

significant savings. STIP guidelines restrict the ability to capture savings and to supplement the budget. Consequently, some components are over expended while the overall project expenditures are less than the total county shares used to fund the entire project.

Individual Components

This section provides an assessment of estimating trends for each of the six individual programmed cost components.

When projects are initially programmed into a programming document, there are a lot of unknown factors that could result in higher or lower costs by the time a project is ready for construction. A good example of unknowns is project refinements and changes that are implemented by the public hearing and project input process during preliminary engineering.

Sometimes Caltrans expenditures in one component are offset by savings in another component. A common example is additional right of way support effort may result in lower right of way capital expenditures. Another example is additional environmental expenditures to produce a publicly acceptable environmental document may be offset by lower design expenditures.

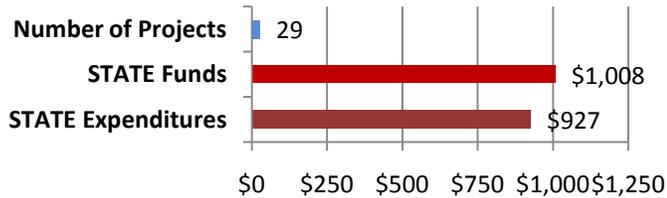
Overall Project

This section compares expended costs to the approved budget costs for the overall project. At the project level, greater flexibility is provided when costs can be managed within a project budget and transferred between components.

Completed FY 2013-14 STIP Projects

STIP Program Level

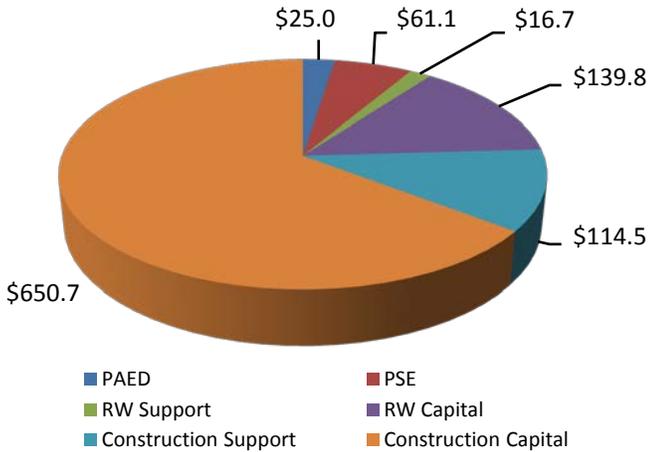
STIP Projects (millions)



There were a total of 29 STIP projects that were completed through the third quarter in FY 2013-14. The total amount of State funds⁽¹⁾ that were approved⁽²⁾ by the commission for these projects was \$1,008⁽³⁾ million. The actual cost of the projects completed was \$927 million which is 92 percent of the approved funds.

- (1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
- (2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
- (3) Local funds are only included if they were part of the construction contract administered by Caltrans. Other local funds may not be reflected in accounting and data systems.

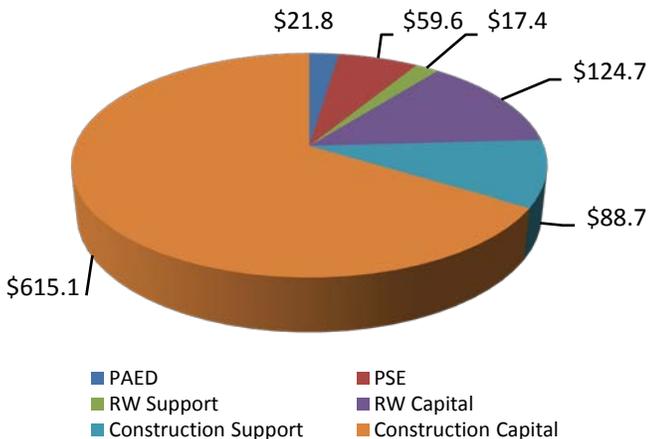
Approved State Funded Budget (millions)



STIP Component Levels

	Approved	Expended	Percent
PJD	\$ 86,119	\$ 81,407	95
RW	\$ 156,565	\$ 142,144	91
Con	\$ 765,193	\$ 703,876	92
Support	\$ 217,349	\$ 187,572	86
Capital	\$ 790,528	\$ 739,855	94
All	\$ 1,007,877	\$ 927,427	92

State Funded Expenditures (millions)



STIP Construction Capital Cost Trends

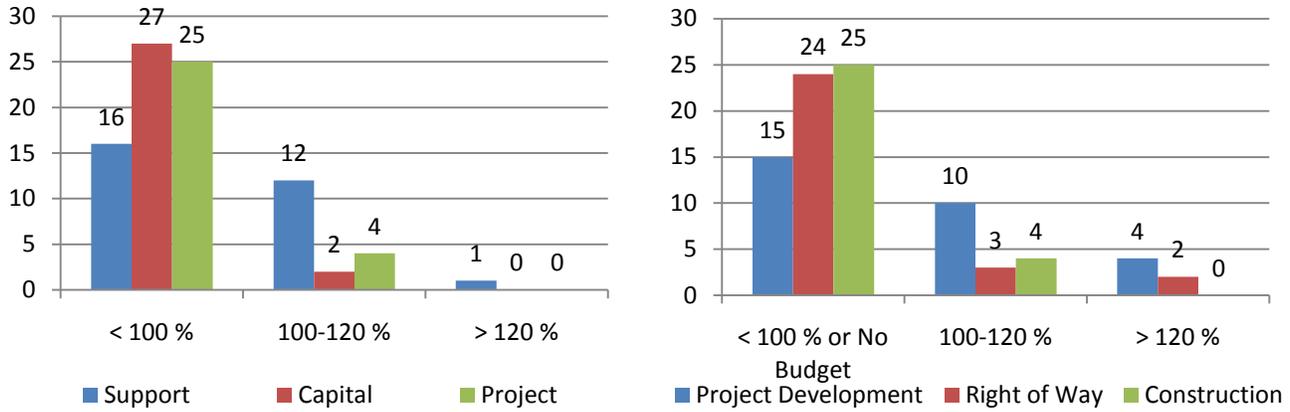
The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Construction Capital Component Budget	Cost (\$1,000'S)	Percent of Allocated Funds
Programmed*	\$ 828,371	101 %
Allocated	\$ 818,797	100 %
Awarded	\$ 638,760	78 %
Construction	\$ 646,437	79 %
Expended	\$ 615,215	75 %

Program Expenditures by Component

Capital	79.8 %	Support	20.2 %
• Right of Way	13.4 %	• PAED	2.4 %
• Construction	66.3 %	• PSE	6.4 %
		• Right of Way	1.9 %
		• Construction	9.6 %

STIP Projects Completed Cost - Component Groupings



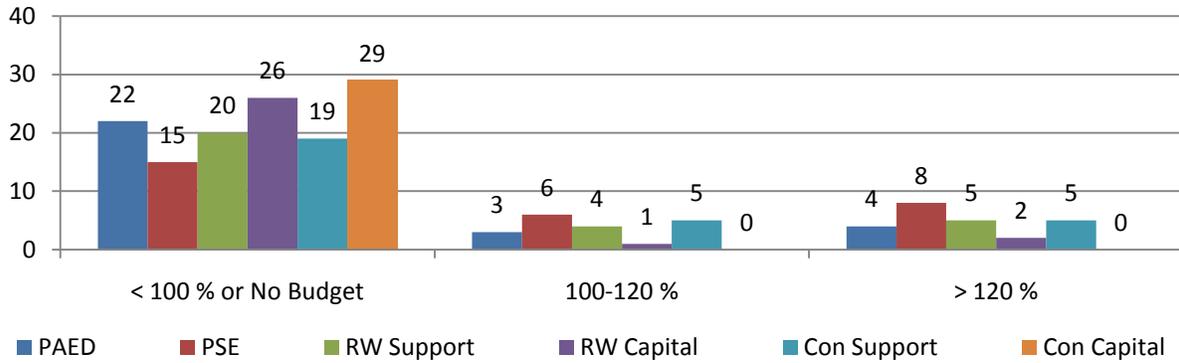
STIP Programmed and Completed Cost Information - Component Groupings

	Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget	
		Under	Over	Percent							
Capital / Support Components	Support	< 100	16		55%	\$ 144,077	66%	\$ 111,464	59%	Under Budget	
		100-120 ¹		12	41%	73,012	34%	75,787	40%		
		> 120		1	3%	260	0%	321	0%		
		Total				\$ 217,349		\$ 187,572		\$ 29,777	86%
	Capital	< 100	27		93%	\$ 708,069	90%	\$ 655,713	89%	Under Budget	
		100-120 ¹		2	7%	82,459	10%	84,142	11%		
		> 120		0	0%	0	0%	0	0%		
		Total				\$ 790,528		\$ 739,855		\$ 50,673	94%
	Project	< 100	25		86%	\$ 908,274	90%	\$ 826,519	89%	Under Budget	
		100-120 ¹		4	14%	99,603	10%	100,908	11%		
		> 120		0	0%	0	0%	0	0%		
		Total				\$1,007,877		\$ 927,427		\$ 80,450	92%

		No Budget	Number of Completed Projects		Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget	
			Under	Over							
STIP Guidelines Components	PJD	No Budget	4		14%	\$ 0	0%	\$ 0	0%	Under Budget	
		< 100	11		38%	57,428	67%	49,078	60%		
		100-120 ¹		10	34%	28,061	33%	30,925	38%		
		> 120		4	14%	630	1%	1,404	2%		
		Total				\$ 86,119		\$ 81,407		\$ 4,712	95%
	Right of Way	No Budget	6		21%	\$ 0	0%	\$ 0	0%	Under Budget	
		< 100	18		62%	127,168	81%	109,928	77%		
		100-120 ¹		3	10%	25,472	16%	26,981	19%		
		> 120		2	7%	3,925	3%	5,235	4%		
		Total				\$ 156,565		\$ 142,144		\$ 14,421	91%
	Construction	No Budget	0		0%	\$ 0	0%	\$ 0	0%	Under Budget	
		< 100	25		86%	707,876	93%	645,535	92%		
100-120 ¹			4	14%	57,317	7%	58,341	8%			
> 120			0	0%	0	0%	0	0%			
	Total				\$ 765,193		\$ 703,876		\$ 61,317	92%	

¹ Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

STIP Project Completed Cost - Individual Components



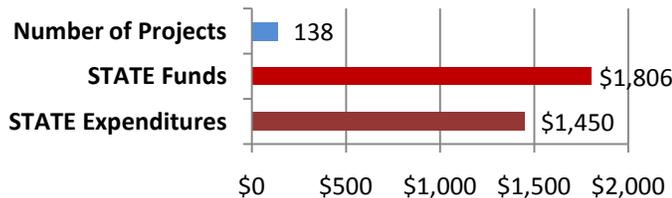
STIP Programmed and Completed Cost Information - Individual Components

	Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget
		Under	Over	Percent						
Individual Project Components	PAED	No Budget	6		21%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	16		55%	23,163	93%	19,337	89%	
		100-120 ¹		3	10%	208	1%	231	1%	
		> 120		4	14%	1,616	6%	2,205	10%	
		Total				\$ 24,987		\$ 21,773		
	PSE	No Budget	4		14%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	11		38%	28,204	46%	22,010	37%	
		100-120 ¹		6	21%	25,171	41%	27,252	46%	
		> 120		8	28%	7,757	13%	10,372	17%	
		Total				\$ 61,132		\$ 59,634		
	RW Support	No Budget	6		21%	\$ 0	0%	\$ 0	0%	Over Budget
		< 100	14		48%	7,330	44%	5,426	31%	
		100-120 ¹		4	14%	4,340	26%	4,866	28%	
		> 120		5	17%	5,054	30%	7,128	41%	
		Total				\$ 16,724		\$ 17,420		
	RW Capital	No Budget	12		41%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	14		48%	116,029	83%	98,908	79%	
		100-120 ¹		1	3%	22,300	16%	22,651	18%	
		> 120		2	7%	1,512	1%	3,165	3%	
		Total				\$ 139,841		\$ 124,724		
Construction Support	Pre SB 1102	No Budget	0		0%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	19		66%	84,035	73%	55,507	63%	
		100-120 ¹		5	17%	29,098	25%	31,440	35%	
		> 120		5	17%	1,373	1%	1,798	2%	
	SB 1102	No Budget	0		0%	0	0%	0	0%	
		< 100	0		0%	0	0%	0	0%	
		100-120 ¹		0	0%	0	0%	0	0%	
		> 120		0	0%	0	0%	0	0%	
Total				\$ 114,506		\$ 88,745		\$ 25,761	78%	
Construction Capital	No Budget	0		0%	\$ 0	0%	\$ 0	0%	Under Budget	
	< 100	29		100%	650,687	100%	615,131	100%		
	100-120 ¹		0	0%	0	0%	0	0%		
	> 120		0	0%	0	0%	0	0%		
	Total				\$ 650,687		\$ 615,131			\$ 35,556

Completed FY 2013-14 SHOPP Projects

SHOPP Program Level

SHOPP Projects (millions)



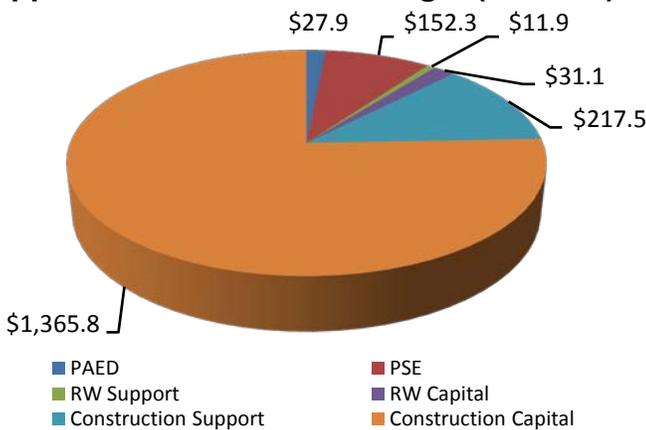
There were a total of 138 SHOPP projects that were completed through the third quarter in FY 2013-14. The total amount of State funds⁽¹⁾ that were approved⁽²⁾ by the commission for these projects was \$1,806⁽³⁾ million. The actual cost of the projects completed was \$1,450 million which is 80 percent of the approved funds.

(1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.

(2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.

(3) Local funds are only included if they were part of the construction contract administered by Caltrans. Other local funds may not be reflected in accounting and data systems.

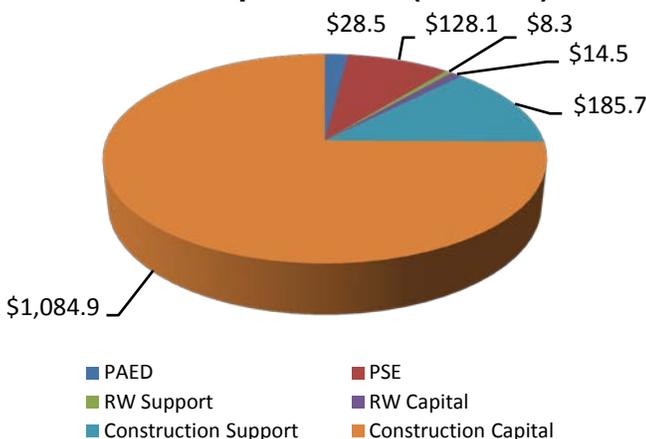
Approved State Funded Budget (millions)



SHOPP Component Levels

	Approved	Expended	Percent
PJD	\$ 180,249	\$ 156,585	87
RW	\$ 42,992	\$ 22,756	53
Con	\$ 1,583,297	\$ 1,270,549	80
Support	\$ 409,587	\$ 350,526	86
Capital	\$ 1,396,951	\$ 1,099,364	79
All	\$ 1,806,539	\$ 1,449,891	80

State Funded Expenditures (millions)



SHOPP Construction Capital Cost Trends

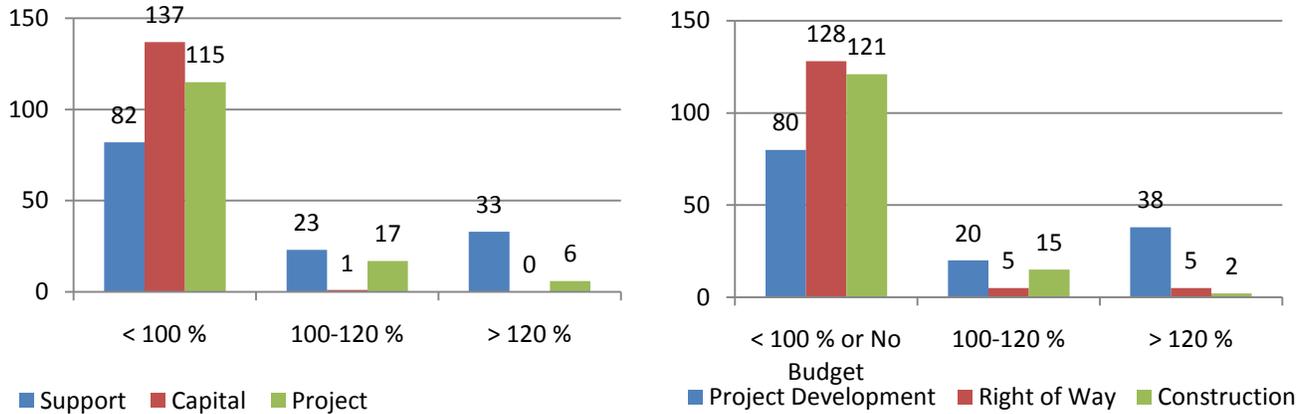
The table below provides construction capital trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

Program Expenditures by Component

Capital	75.8 %	Support	24.2 %
• Right of Way	1.0 %	• PAED	2.0 %
• Construction	74.8 %	• PSE	8.8 %
		• Right of Way	0.6 %
		• Construction	12.8 %

Construction Capital Component Budget	Cost (\$1,000'S)	Percent of Allocated Funds
Programmed	\$ 1,554,476	115 %
Allocated	\$ 1,346,500	100 %
Awarded	\$ 1,202,172	89 %
Construction	\$ 1,161,451	86 %
Expended	\$ 1,084,896	81 %

SHOPP Projects Completed Cost Percentages - Component Groupings



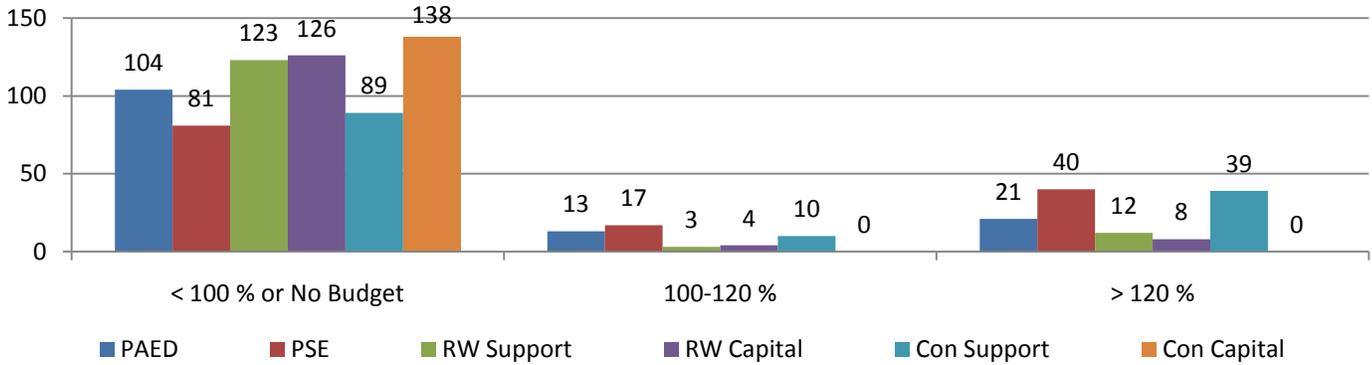
SHOPP Programmed and Completed Cost Information - Component Groupings

	Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget
		Under	Over	Percent						
Capital / Support Components	Support	< 100	82		59%	\$ 322,202	79%	\$ 237,270	68%	Under Budget
		100-120 ¹		23	17%	47,131	12%	50,303	14%	
		> 120		33	24%	40,254	10%	62,953	18%	
		Total				\$ 409,587		\$ 350,526		
	Capital	< 100	137		99%	\$ 1,392,584	100%	\$1,094,558	100%	Under Budget
		100-120 ¹		1	1%	4,367	0%	4,806	0%	
		> 120		0	0%	0	0%	0	0%	
		Total				\$ 1,396,951		\$1,099,364		
	Project	< 100	115		83%	\$ 1,718,747	95%	\$1,353,600	93%	Under Budget
		100-120 ¹		17	12%	71,565	4%	75,348	5%	
		> 120		6	5%	16,227	14%	20,943	27%	
		Total				\$ 1,806,539		\$1,449,891		

STIP Guidelines Components		No Budget	Number of Completed Projects		Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget
			Under	Over						
STIP Guidelines Components	PJD	No Budget	17		12%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	63		46%	119,075	66%	78,894	50%	
		100-120 ¹		20	14%	32,711	18%	35,078	22%	
		> 120		38	28%	28,463	16%	42,613	27%	
		Total				\$ 180,249		\$ 156,585		
	Right of Way	No Budget	12		9%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	116		84%	36,145	84%	14,905	65%	
		100-120 ¹		5	4%	6,121	14%	6,371	28%	
		> 120		5	4%	726	2%	1,480	7%	
		Total				\$ 42,992		\$ 22,756		
	Construction	No Budget	0		0%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	121		88%	1,541,357	97%	1,224,510	96%	
100-120 ¹			15	11%	35,699	2%	38,173	3%		
> 120			2	1%	6,241	0%	7,866	1%		
Total					\$ 1,583,297		\$1,270,549		\$ 312,748	

¹ Reference: Table 2, California State Auditor Report 2010-122: State law requires that STIP project costs may not be changed to reflect differences that are within 20 percent of the amount programmed for actual project costs. Further, according to the chief of Caltrans' Division of Project Management, although there are no written requirements, Caltrans' practice is to manage SHOPP projects similar to STIP projects when a SHOPP project is 20 percent over its support budget.

SHOPP Project Completed Cost Percentages - Individual Components



SHOPP Programmed and Completed Cost Information - Individual Components

	Expended / Budget Percent	Number of Completed Projects			Budget (\$1,000's)	Percent Budget	Spent (\$1,000's)	Percent Spent	(+/-) (\$1,000's)	Cost Ratios Spent / Budget
		Under	Over	Percent						
Individual Project Components	PAED	No Budget	52		38%	\$ 0	0%	\$ 0	0%	Over Budget
		< 100	52		38%	13,181	47%	9,496	33%	
		100-120 ¹		13	9%	10,868	39%	11,186	39%	
		> 120		21	15%	3,897	14%	7,779	27%	
		Total				\$ 27,946		\$ 28,461		
	PSE	No Budget	14		10%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	67		49%	110,515	73%	72,274	56%	
		100-120 ¹		17	12%	18,892	12%	20,482	16%	
		> 120		40	29%	22,896	15%	35,366	28%	
		Total				\$ 152,303		\$ 128,122		
	RW Support	No Budget	16		12%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	107		78%	8,613	73%	3,699	45%	
		100-120 ¹		3	2%	425	4%	486	6%	
		> 120		12	9%	2,828	24%	4,102	49%	
		Total				\$ 11,866		\$ 8,287		
	RW Capital	No Budget	43		31%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	83		60%	30,027	96%	12,331	85%	
		100-120 ¹		4	3%	377	1%	425	3%	
		> 120		8	6%	722	2%	1,712	12%	
		Total				\$ 31,126		\$ 14,468		
Construction Support	Pre SB 1102	No Budget	0		0%	\$ 0	0%	\$ 0	0%	Under Budget
		< 100	89		64%	192,947	89%	146,130	79%	
		100-120 ¹		10	7%	3,195	1%	3,488	2%	
		> 120		39	28%	21,330	10%	36,037	19%	
	SB 1102	No Budget	0		0%	0	0%	0	0%	
		< 100	0		0%	0	0%	0	0%	
		100-120 ¹		0	0%	0	0%	0	0%	
		> 120		0	0%	0	0%	0	0%	
Total				\$ 217,472		\$ 185,655		\$ 31,817	85%	
Construction Capital	No Budget	0		0%	\$ 0	0%	\$ 0	0%	Under Budget	
	< 100	138		100%	1,365,825	100%	1,084,896	100%		
	100-120 ¹		0	0%	0	0%	0	0%		
	> 120		0	0%	0	0%	0	0%		
	Total				\$1,365,825		\$1,084,896			\$ 280,929

Appendix

- (A) Glossary
- (B) Past Years' Contracts for Delivery Award Status
Projects Not Yet Awarded
- (C) Environmental Documents
- (D) Status of Major Projects with Right of Way
- (E) Construction Contract Administration
- (F) Completed Projects Cost Information

Glossary

- #** 1st – First
2nd – Second
3rd – Third
4th - Fourth
- A** AB – Assembly Bill
- B** BATA – Bay Area Toll Authority
BIP –
BOND – Proposition 1B Bond Program
- C** Cap – Capital (has construction)
CE – Categorical Exemption
Cert - Certification
CTC – California Transportation
Commission
Cty - County
- D** Doc – Document
D-EA – District and expenditure
authorization
DED – Draft environmental document
- E** ED – Environmental Document
EIR – Environmental Impact Report
Emerg – Emergency funded project
Env - Environmental
- F** FED – Final environmental document
FY – Fiscal Year
FYTD – Fiscal year to date
- N** ND – Negative Declaration
NOP – Notice of Preparation
- P** PART – Partnership (local funded
projects delivered by state including
contributor funds on state funded
projects (counts all non-STIP or
non- SHOPP Funds)
PAED – Project approval and
environmental document
PM – Post Mile
PSE – Plans, specifications and
estimate
- Q** Q1 – First Quarter
Q2 – Second Quarter
Q3 – Third Quarter
Q4 – Fourth Quarter
Qtr – Quarter
- R** RTL – Ready to list
Rte – Route
R/W – Right-of-way
RWC – Right-of-way certification
- S** SDWLL – Retrofit Soundwall funded
project
SHOPP – State Highway Operations
and Protection Program
STIP – State Transportation
Improvement Program
- T** TBSRA – Toll Bridge Seismic Retrofit
Account
TCRF – Traffic congestion relief funds

Prior Years' Contracts for Delivery Award Status

Projects initial bid.

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Ad	Bid Opening	Comments
12-13	01-47490	SHOPP	MEN	1	REPAIR STORM DAMAGE	\$3,400	1/30/14	3/21/14	5/5/14	6/18/14	FY 12-13 Non-delivery Coastal permit
12-13	04-23562	SHOPP	SM	101	REPLACE BRIDGE STRUCTURE AT SAN FRANCISQUITO CREEK	\$9,320	6/14/13	10/8/13	11/18/13	1/28/14	First two bidders nonresponsive, proceeding to reject all bids.
12-13	04-2A250	SHOPP	SCL	152	IMPROVE SIGHT DISTANCE, UPGRADE SHOULDERS, MINOR REALIGNMENT, TURN-POCKET	\$24,826	6/28/13	7/11/13	8/19/13	4/29/14	Low bid 14 percent below Engineer's Estimate.

\$37,546

Projects allocated, not advertised

12-13	01-26202	STIP	MEN	101	WETLAND/RIPARIAN MITIGATION	\$26,290	4/26/13	5/7/13			High bids, Time extension to award by 7/31/15 to repackage into smaller contracts.
12-13	04-4S050	SHOPP	SCL	9	CONSTRUCT TIE-BACK WALL	\$2,780	6/14/13	8/6/13	8/26/13	10/9/13	All bids rejected 2/18/14 Time extension to award until 11/30/14
12-13	11-0223U	RTIP/ SHOPP	SD	5	REPLACE BRIDGE, RECONSTRUCT INTERCHANGE, SIGNAL INTERSECTION, ADD AUX LANES AND	\$74,700	3/25/13	5/7/13		9/22/13	Low bid 62% over EE. Time extension to award by 5/31/15 to rescope and readvertise.
12-13	11-40670	SHOPP	SD	5	PAVEMENT PRESERVATION (CAPM)	\$53,315	6/28/13	6/11/13	12/9/13	4/10/14	AADD Award time extension to 9/30/14.

\$157,085

Projects delivered, not allocated, future funds

11-12	02-0E360	SHOPP	TEH	005	REBUILD N/B & S/B FACILITIES AT CORNING SRRA'S	\$6,000	12/1/11 4/1/14				SRRA projects low priority. Resubmitted to OE 12/30/13. FY 15-16 funds.
12-13	06-36023	STIP	TUL	99	4 LANE FREEWAY TO 6 LANE FREEWAY	\$17,700	7/25/12				Shelved, Funds in FY 15-16

\$23,700

Projects not delivered

12-13	07-3X450	SHOPP	LA	1	REPAIR FAILED DRAINAGE *DIR	\$3,500					12-13 Delivery failure. Reprogrammed to FY 14-15
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\$3,500

Project Approval (PR) Final Environmental Document (FED) Milestone Delivery

First Quarter - 37 Planned Deliverables

Second Quarter - 71 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED	D	EA	Pgm	Cty	Rte	Description	PR	FED
01	0A360	SHOPP	HUM	299	CURVE IMPROVEMENT			01	0B080	SHOPP	DN	101	AC OVERLAY		
01	0A690	SHOPP	LAK	020	INSTALL MBGR			01	0B410	SHOPP	HUM	101	RECONSTRUCT ROADWAY & DEWATER		
01	0B350	SHOPP	HUM	036	STABILIZE ROADWAY			01	0B460	SHOPP	HUM	299	STABILIZE ROADWAY		
01	0B390	SHOPP	HUM	096	STABILIZE ROADWAY			01	0C350	SHOPP	LAK	029	CAPM		
02	0E360	SHOPP	TEH	005	REBUILD N/B & S/B FACILITIES AT CORN			01	0C460	SHOPP	HUM	299	CAPM		
02	2E800	SHOPP	SIS	005	UPGRADE FACILITY ENFORCEMENT FAC			01	36291	SHOPP	MEN	101	ROADWAY REHABILITATION		
02	3E800	SHOPP	SHA	273	CONSTRUCT & MODIFY ADA CURB RAMP			01	41540	SHOPP	MEN	101	RESURFACING, RESTORATION AND REH		
02	4E430	SHOPP	SHA	044	.121 PAVEMENT REHAB.			01	45930	SHOPP	MEN	101	ROADWAY REHABILITATION		
02	4E690	SHOPP	LAS	395	REHABILITATE WATER SYSTEMS			01	49771	SHOPP	MEN	001	PERMANENT RESTORATION: REPAIR SLI		
02	4E811		VAR		ROADSIDE SAFETY IMPROVEMENTS			02	4E900	SHOPP	TEH	032	.010 CURVE IMPROVEMENT		
03	1A843	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENT			02	4F410	SHOPP	SIS	096	.131 EMREGENCY PROJECT		
03	1A845	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENT			02	4G480	SHOPP	SHA	299	CONSTRUCT SIDEWALKS		
03	3F080	SHOPP	SAC	051	REHABILITATE AND RESURFACE BRIDGE			03	3F170	SHOPP	SAC	005	ROADSIDE PAVEMENT AND SAFE ACCES		
04	0G510	STIP	MRN	000	DEVELOP AN INTERPRETATIVE INVENTO	N	N	03	3F180	SHOPP	SAC	080	PLACE VEGETATION CONTROL AND GRA		
04	1G850	SHOPP	SM	092	INSTALL OR MODIFY METAL BEAM GUAR			03	3F770	SHOPP	SUT	099	INSTALL SHOULDER AND CENTERLINE R		
04	1SS46	SHOPP	CC	680	COMPACTION GROUTING			04	1SS37	SHOPP	SON	121	CAST IN DRILL HOLE PILE WALL		
04	1SS47	SHOPP	CC	680	PLACE ROCK SLOPE PROTECTION, REPA			04	1SS52	SHOPP	SCL	280	GEOSYNTHETIC REINF EMBANKMENT		
04	4A480	SHOPP	ALA	260	REPAIR HANDRAIL AND SIDEWALK ALON			04	23565	STIP	SM	101	RECONSTRUCT INTERCHANGE		
04	4G460	SHOPP	MRN	101	UPGRADE BRIDGE RAILS			04	27205	SHOPP	SCL	280	REHABILITATE RAMPS		
05	0T630	SHOPP	SB	101	CURVE REALIGNMENT			04	2A330	SHOPP	ALA	084	IMPROVE SIGHT DISTANCE, UPGRADE S		
05	1A730	SHOPP	MON	101	PAVEMENT PRESERVATION (CAP M)			04	2G520	SHOPP	ALA	580	UPGRADE TYPE W BEAM (WB) OR SINGL		
05	1C300	SHOPP	MON	001	INSTALL RUMBLE STRIP			04	3G680	SHOPP	SM	101	CONSTRUCT ROADSIDE PAVEMENT, MVA		
05	1F320	SHOPP	SB	101	REPLACE OVERHEAD GUIDE SIGNS, REP			04	3G700	SHOPP	SF	101	ROADSIDE SAFETY IMPROVEMENTS		
06	0E340	SHOPP	KER	099	FREEWAY MAINTENANCE ACCESS			04	3G710	SHOPP	ALA	580	ROADSIDE SAFETY IMPROVEMENTS		
07	28390	STIP	LA	210	TRANSPORTATION ENHANCEMENT			04	4A360	SHOPP	SM	000	REPLACE METAL BEAM GUARDRAIL WITH		
07	28430	STIP	LA	002	TRANSPORTATION ENHANCEMENT			04	4A810	SHOPP	ALA	880	STORM WATER MITIGATION		
08	0G841	STIP	SBD	015	INTERPRETIVE DISPLAYS ON NB & SB			04	4A820	SHOPP	ALA	080	STORM WATER MITIGATION		
08	0J930	SHOPP	SBD	015	REHABILITATE BRIDGE			04	4A830	SHOPP	ALA	013	STORM WATER MITIGATION		
08	0Q830	SHOPP	SBD	210	GRIND/RECONSTRUCT PCC PAVEMENT.			04	4G470	SHOPP	MRN	580	UPGRADE BRIDGE RAIL		
08	0R740	SHOPP	RIV	371	INSTALL CENTERLINE GROUND-IN RUMB			04	4G640	SHOPP	SM	084	REPAIR WASHOUT CONSTRUCT WALL		
08	0R750	SHOPP	RIV	062	INSTALL MEDIAN BARRIER MARKERS AN			04	4H221	SHOPP	ALA	580	AC RESURFACING (MAINLINE)		
08	1E490	SHOPP	RIV	010	REPAIR ERODED CHANNEL BED			04	4H222	SHOPP	ALA	580	REHABILITATE PAVEMENT / RAMPS		
08	1E520	SHOPP	RIV	243	REPAIR FIRE DAMAGE			04	4S190	SHOPP	SON	116	CONSTRUCT SOLDIER PILE WALL		
08	1E530	SHOPP	RIV	243	REPAIR FIRE DAMAGE			05	0G040	SHOPP	SLO	101	HIGHWAY REHAB		
09	33500	SHOPP	MNO	395	MITIGATE MONO LAKE ROCKFALL			05	0S250	SHOPP	SB	101	ROADSIDE SAFETY IMPROVEMENTS		
10	0Q290	SHOPP	MER	165	IMPROVE CURVE RADIUS, SUPERELEVA			05	1A760	SHOPP	MON	001	PAVEMENT PRESERVATION (CAP M)		
10	0T780	SHOPP	STA	132	TRAFFIC SIGNAL AND WIDENING			05	1A870	SHOPP	SCR	001	RE-STRIPING AND SHOULDER WIDENING		
								05	1C130	SHOPP	SLO	001	CURB RAMPS AND SIDEWALK		
								05	1C310	SHOPP	SCR	001	INSTALL RUMBLE STRIPS		
								05	1C860	SHOPP	SCR	001	PAVEMENT PRESERVATION		
								07	28490		LA	118	WIDENING OFF-RAMP		
								07	28750		VEN	118	WILDLIFE CORRIDOR STUDY		
								07	28810	SHOPP	LA	60	WIDEN FREEWAY		
								07	29300	SHOPP	LA	210	REHAB RAMPS AND CONNECTOR PAVEM		
								07	29460	SHOPP	LA	101	ROADSIDE SAFETY IMPROVEMENTS		
								07	29470	SHOPP	LA	101	SLAB REPLACEMENT/COLDPLANE AC O/A		
								07	29490	SHOPP	LA	005	PREVENTIVE MAINTENANCE		
								07	29500	SHOPP	LA	010	SLAB REPLACEMENT		
								07	29550	SHOPP	LA	101	ROADSIDE SAFETY IMPROVEMENTS		
								07	29560	SHOPP	LA	005	PVMT PRESERVATION,SLAB REPL,SHLDR		
								07	29570	SHOPP	LA	605	PAV PRESERVATION,SLAB REPL/GRNDN		
								07	29670	SHOPP	VEN	118	PAVEMENT REPAIR & RAMP		
								07	29680	SHOPP	LA	2	RESURFACE		
								07	29700	SHOPP	LA	138	COLD PLANE AND OVERLAY		
								07	30230	SHOPP	LA	210	LANE REPLACEMENT		
								07	30280	SHOPP	LA	138	AC OL ON ETW TO ETW		
								08	0P980	SHOPP	SBD	018	SIGNALIZE INTERSECTION. ADA REQUIR		
								08	0Q751	SHOPP	RIV	060	PAVEMENT REHABILITATION		
								08	0R460	SHOPP	RIV	091	IMPROVE WORKER SAFETY CONDITIONS		
								08	0R490	SHOPP	RIV	091	IMPROVE WORKER SAFETY CONDITIONS		
								08	0R950	SHOPP	RIV	371	CONSTRUCT LEFT TURN LANE		
								08	1C370		RIV	095	MILL AND OVERLAY PAVEMENT WITH DIG		
								09	35690	SHOPP	MNO	108	UPGRADE BARRIER APPROACH RAIL		
								10	0Q220	SHOPP	AMA	088	AC OVERLAY AND DIGOUTS		
								10	0V660	SHOPP	STA	005	CAP M		
								10	0W690	SHOPP	SJ	004	REPLACE JOINT SEALS, BEARING PADS		
								12	0H007	SHOPP	ORA	005	TO PROVIDE CLOSED CIRCUIT TV (CCTV		
								12	0J640	SHOPP	ORA	055	REVEGETATION OF EXPOSED SLOPES F		
								12	0L720	SHOPP	ORA	074	CONSTRUCT 4-FOOT RIGHT SHOULDER,		
								12	0L92U	SHOPP	ORA	005	UPGRADE PEDESTRIAN FACILITIES W/IN		
								12	0N110	SHOPP	ORA	133	DEEP INJECTION GROUTING AT THREE L		

Legend

	Completed
N	Not Needed due to Env Doc Change
	Behind Schedule
	To Be Completed
	Delay Out of Year

Project Approval (PR) Final Environmental Document (FED) Milestone Delivery (page 2)

Third Quarter - 62 Planned Deliverables

Fourth Quarter - 35 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	PR	FED	D	EA	Pgm	Cty	Rte	Description	PR	FED
01	0A320	SHOPP	HUM	299	CURVE IMPROVEMENT			01	0B030	SHOPP	HUM	096	CURVE IMPROVEMENT		
01	0A520	SHOPP	HUM	299	CURVE IMPROVEMENT			01	0B270	SHOPP	DN	101	REPAIR ROADWAY FAILURES		
01	0B370	SHOPP	HUM	036	SLIDE REPAIR			01	0B300	SHOPP	DN	101	STABILIZE ROADWAY		
01	0B380	SHOPP	HUM	096	SLIDE REPAIR			01	0B340	SHOPP	HUM	036	RECONSTRUCT ROADWAY & DRAINAGE		
01	0B400	SHOPP	HUM	101	RECONSTRUCT ROADWAY & DRAINAGE			01	0B470	SHOPP	MEN	001	REPAIR SLIPOUT		
01	0B450	SHOPP	HUM	299	REPAIR SLIDES & SLIPOUTS			01	46392	SHOPP	HUM	000	RECONSTRUCT GUARD RAILING		
01	26201	STIP	MEN	101	RYAN CREEK FISH PASSAGE - COHO SAL			01	49370	SHOPP	HUM	096	SHOULDER WIDENING/LIGHT GUARD CR		
01	26204	STIP	MEN	101	SHERWOOD ROAD GEOMETRIC UPGRAD			02	4E890	SHOPP	SHA	299	.010 MCCANDLESS GULCH CURVE IMPRV		
01	43060	SHOPP	HUM	254	REPLACE BRIDGE RAILS AND WIDEN (FO			02	4F610	SHOPP	VAR	005	.119 BRIDGE DECK REHAB		
02	0E090	SHOPP	SHA	5	.113 SEISMIC RETROFIT DOG CREEK BR			03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY		
02	3E730	SHOPP	SIS	005	.110 BRIDGE DECK REPLACEMENT.			03	1F990	SHOPP	BUT	032	ADA COMPLIANCE CURB RAMPS, SIGNAL		
02	3E770	SHOPP	TRI	299	.121 CURB RAMPS			03	3F650	SHOPP	NEV	089	PAVEMENT OVERLAY		
03	0F370	SHOPP	GLE	005	RAISE STRUCTURE OR LOWER ROADWA			04	0G720	SHOPP	SCL	152	UPGRADE INTERSECTION AND INSTALL		
03	2F290	SHOPP	PLA	267	PAVEMENT REHAB AND WIDEN SHOULD			04	1SS03	SHOPP	ALA	580	CONSTRUCT SOLDIER PILE WALL		
03	2F990	HM	SAC	160	HMA OVERLAY			04	1SS41	SHOPP	ALA	013	INSTALL SHOULDER BEAM TIE-BACK RE		
03	3F040	SHOPP	SAC	005	REPLACE ALL PUMP HOUSE COMPONENT			04	2G440	SHOPP	CC	080	UPGRADE TYPE W BEAM OR SINGLE TH		
03	3F660	HM	SAC	160	HMA OVERLAY			04	2G450	SHOPP	CC	680	UPGRADE TO TYPE W BEAM (WB) OR GL		
03	3F790	SHOPP	SUT	020	REPLACE SIGNAL POLES			04	2G830	SHOPP	ALA	013	REPAIR SLOPE SLIDE WITH SOLDIER BE		
04	15148		ALA	880	TO INSTALL RAMP METERS & TRAFFIC O			04	2G850	SHOPP	ALA	580	CONSTRUCT RETAINING WALL		
04	1A340	SHOPP	SCL	009	BRIDGE RAIL REPLACEMENT			04	2G890	SHOPP	MRN	001	CONSTRUCT SOLDIER PILE WALL WITH		
04	1G830	SHOPP	SM	280	UPGRADE TO STANDARD THE EXISTING			04	2G940	SHOPP	NAP	128	CONSTRUCT ROADWAY RETAINING SYS		
04	1SS42	SHOPP	ALA	680	REPAIR SLOPE AND INSTALL ROCK SLOP			04	3G640	SHOPP	NAP	029	SCOUR REPLACE BRIDGE		
04	23552		SM	092	INTERCHANGE IMPROVEMENT			04	3S900	SHOPP	MRN	001	CONSTRUCT TIE-BACK WALL		
04	27204	SHOPP	SCL	280	REHABILITATE ROADWAY MAINLINE			04	4G450	SHOPP	SOL	780	REPLACE BRIDGE		
04	3G110	SHOPP	SON	116	ROCKSLOPE PROTECTION			04	4S660	SHOPP	MRN	001	PERMANENT RESTORATION & TO REPLA		
04	3G590	SHOPP	ALA	580	ROADWAY REHABILITATION (2R)			06	0L340	SHOPP	FRE	168	INTERSECTION IMPROVEMENT		
04	3G650	SHOPP	SOL	680	REHABILITATE PAVEMENT			06	0Q580		KER	005	WIRE THEFT RESTORATION		
04	4A000	SHOPP	MRN	101	BRIDGE RAIL REPLACEMENT AT THREE			07	29770	SHOPP	LA	110	INSTALL SAFETY LIGHTING		
04	4C200	HM	SCL	152	PREVENTIVE MAINTENANCE, ASPHALT C			07	29850	SHOPP	LA	405	RECONFIGURE RAMPS		
04	4G111	STIP	ALA	680	WIDEN RAMPS AND CONSTRUCT RAMP M			08	0R510	SHOPP	SBD	015	INSTALL VEGETATION CONTROL UNDER		
04	4G190		ALA	580	INSTALL RAMP METERING AND TOS ELE			08	34770	STIP	SBD	58	CONSTRUCT 4-LANE EXPWY ON NEW AL		
04	4G590	SHOPP	SM	280	REPAIR PIPE SYSTEM			09	34090	SHOPP	MNO	395	REHAB/REPLACE CULVERTS		
04	4G630	SHOPP	SM	280	REPAIR WASHOUT AND RSP			10	0P800	SHOPP	MER	140	BRIDGE SCOUR MITIGATION		
05	0N700	STIP	SB	101	CONSTRUCT HOV LANES			10	0W140	SHOPP	MER	152	CONSTRUCT MEDIAN BARRIER		
05	1C080	SHOPP	SLO	101	ROADSIDE SAFETY IMPROVEMENTS			10	0X320	SHOPP	MER	165	INSTALL RUMBLE STRIPS		
05	1C090	SHOPP	MON	068	ROADSIDE SAFETY IMPROVEMENTS										
05	1C100	SHOPP	SCR	001	ROADSIDE SAFETY IMPROVEMENTS										
05	1C110	SHOPP	SLO	101	ROADSIDE SAFETY IMPROVEMENTS										
05	1C240	SHOPP	SLO	001	INSTALL RUMBLE STRIP										
06	0K810	SHOPP	KER	099	SEISMIC RESTORATION										
06	0N960	SHOPP	KER	204	PLACE DECK OVERLAY, REPLACE JOINT										
06	0P980	SHOPP	FRE	168	CONSTRUCT MEDIAN BARRIER										
06	34235	STIP	FRE	180	HIGHWAY PLANTING										
07	2844U	STIP	LA	110	CONSTRUCT BICYCLE/PEDESTRIAN TRA										
07	28820	SHOPP	LA	060	STORM WATER SOURCE CONTROL										
07	28920	SHOPP	LA	710	SOURCE CONTROL										
07	29080	SHOPP	LA	001	UPGRADE PEDESTRIAN FACILITIES										
07	29450	STIP	LA	005	NATIVE PLANTING AND ENHANCEMENTS										
08	0N550	SHOPP	SBD	040	BRIDGE REPLACEMENT										
08	0Q300	SHOPP	SBD	138	CONSTRUCT TWO LANE CONVENTIONAL										
08	0Q790	SHOPP	SBD	040	BRIDGE REPLACEMENT (BR #54 0889L&R										
08	0R470	SHOPP	SBD	215	UPGRADE PEDESTRIAN FACILITIES, REM										
09	21340	STIP	INY	395	CONSTRUCT 4 LANE EXPRESSWAY										
09	35060	STIP	INY	168	CONSTRUCT SIDEWALKS										
10	0P920	SHOPP	MPA	140	CLEAR LANDSLIDE										
10	0U520	SHOPP	MER	059	INSTALL LEFT TURN CHANNELIZATION										
10	0V620	SHOPP	CAL	026	SIGNALIZATION AND CHANNELIZATION										
11	26041	SHOPP	SD	008	DRAINAGE IMPROVEMENTS										
11	28230	SHOPP	SD	005	STORM WATER DETENTION BASINS RET										
11	40640	SHOPP	SD	078	UPGRADE BRIDGE RAIL ENDTREATMENT										
11	41540	SHOPP	SD	008	ENHANCE STRIPING AND UPGRADE BRID										
12	0H890	SHOPP	ORA	055	REPAIR EXISTING ROCK BLANKET, UPGR										

Legend

 	Completed
 	Not Needed due to Env Doc Change
 	Behind Schedule
 	To Be Completed
 	Delay Out of Year

Draft Environmental Document (DED) Milestone Delivery

First Quarter - 4 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
04	15148		ALA	880	TO INSTALL RAMP METERS & TRAFFIC O	N
04	23565	STIP	SM	101	RECONSTRUCT INTERCHANGE	N
06	0P590	SHOPP	TUL	190	CONSTRUCT ROUNDABOUT	
09	35060	STIP	INY	168	CONSTRUCT SIDEWALKS	

Second Quarter - 24 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	0A320	SHOPP	HUM	299	CURVE IMPROVEMENT	
01	0A520	SHOPP	HUM	299	CURVE IMPROVEMENT	N
01	0B400	SHOPP	HUM	101	RECONSTRUCT ROADWAY & DRAINAGE	N
01	26201	STIP	MEN	101	RYAN CREEK FISH PASSAGE - COHO SAL	
01	26204	STIP	MEN	101	SHERWOOD ROAD GEOMETRIC UPGRAD	
01	43060	SHOPP	HUM	254	REPLACE BRIDGE RAILS AND WIDEN (FO	
01	49771	SHOPP	MEN	001	PERMANENT RESTORATION: REPAIR SLI	
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY	
04	17244	SHOPP	ALA	084	ENVIRONMENTAL MITIGATION	
04	1SS03	SHOPP	ALA	580	CONSTRUCT SOLDIER PILE WALL	
04	23552		SM	092	INTERCHANGE IMPROVEMENT	
04	2G890	SHOPP	MRN	001	CONSTRUCT SOLDIER PILE WALL WITH T	N
04	2G940	SHOPP	NAP	128	CONSTRUCT ROADWAY RETAINING SYS	
04	3G590	SHOPP	ALA	580	ROADWAY REHABILITATION (2R)	
04	3S900	SHOPP	MRN	001	CONSTRUCT TIE-BACK WALL	
04	4G111	STIP	ALA	680	WIDEN RAMPS AND CONSTRUCT RAMP I	
04	4G190		ALA	580	INSTALL RAMP METERING AND TOS ELE	
04	4G590	SHOPP	SM	280	REPAIR PIPE SYSTEM	
06	0K460	SHOPP	KER	099	BRIDGE REPLACEMENT	
06	0K810	SHOPP	KER	099	SEISMIC RESTORATION	
06	0L340	SHOPP	FRE	168	INTERSECTION IMPROVEMENT	
06	0N990	SHOPP	FRE	041	BRIDGE SEISMIC RETROFIT	
09	34090	SHOPP	MNO	395	REHAB/REPLACE CULVERTS	
09	35690	SHOPP	MNO	108	UPGRADE BARRIER APPROACH RAIL	N

Third Quarter - 20 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	0B030	SHOPP	HUM	096	CURVE IMPROVEMENT	N
01	0B470	SHOPP	MEN	001	REPAIR SLIPOUT	N
03	3F360		SAC	050	BUS/CARPOOL LANE ADDITIONS	
04	16030	SHOPP	ALA	084	REPLACE BRIDGE	
04	1A903	SHOPP	SF	001	CONSTRUCT DRAINAGE	N
04	1SS42	SHOPP	ALA	680	REPAIR SLOPE AND INSTALL ROCK SLOP	N
04	28120	STIP	NAP	029	INTERSECTION IMPROVEMENTS	
04	2G830	SHOPP	ALA	013	REPAIR SLOPE SLIDE WITH SOLDIER BE	N
04	3G640	SHOPP	NAP	029	SCOUR REPLACE BRIDGE	
05	0A050	SHOPP	SB	001	CONSTRUCT SOIL NAIL/TIEBACK RETAIN	
05	0Q600	SHOPP	SCR	017	STORM WATER MITIGATION	
05	0T990	SHOPP	MON	101	TREE AND MBGR REMOVAL	
06	0H200	SHOPP	TUL	201	BRIDGE RAIL REPLACEMENT	
06	0M370	SHOPP	KIN	043	CONSTRUCT ROUNDABOUT	
06	0N180	SHOPP	KIN	198	INSTALL MEDIAN BARRIER	N
06	46380		FRE	180	ADD PASSING LANES	
08	0N69U	SHOPP	RIV	060	CONSTRUCT A TRUCK CLIMBING LANE E	
10	0P800	SHOPP	MER	140	BRIDGE SCOUR MITIGATION	N
10	0W140	SHOPP	MER	152	CONSTRUCT MEDIAN BARRIER	
11	40570	SHOPP	SD	076	INTERSECTION IMPROVEMENT AT SR-76	

Fourth Quarter - 9 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	DED
01	0A490	SHOPP	HUM	299	CURVE IMPROVEMENT	
01	0B360	SHOPP	HUM	036	RECONSTRUCT ROADWAY & DRAINAGE	
01	0B420	SHOPP	HUM	101	CONSTRUCT BUTTRESSES & DEWATER	
01	0B430	SHOPP	HUM	101	BIG LAGOON SLIPOUT REPAIR	
01	0C241	SHOPP	DN	101	CONSTRUCT SOLDIER TIE BACK WALL &	N
01	49370	SHOPP	HUM	096	SHOULDER WIDENING/LIGHT GUARD CR	N
04	0A020	SHOPP	SON	001	REALIGN ROADWAY	
04	1SS41	SHOPP	ALA	013	INSTALL SHOULDER BEAM TIE-BACK RE	
04	3G620	SHOPP	SF	101	BRIDGE REHABILITATION	

	Completed
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	Delay Out of Year

2013/14 FY Right of Way Capital Major Project List

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/ SHOPP	Doc Year	Total RW Capital Estimate	2013 FY Alloc Plan	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
01	262020	0125X	MEN	101	WETLAND/RIPARIAN MITIGATION	2/12/2013	21,530	21,530	2012	\$ 26,355	\$ 4,944,000	2,436	(2,436)	0	
01	3986U1		LAK	053	WIDEN ROADWAY WITH HMA OVER AB	5/25/2010	1,086	1,086	2008	\$ 1,031	\$ 1,021,000	0	10,134	0	
02	360700	3017	SHA	299	ROADWAY REHABILITATION	5/1/2013	3,092	3,092	2012	\$ 1,800	\$ 1,166,000	388,371	13,226	19,751	
03	3C3800	3258	ED	050	STORM WATER MITIGATION	3/15/2014	3,000	3,000	2012	\$ 2,351	\$ 1,755,000	25,721	12,100	40,573	
04	1637E1	0619E	SF	101	SOUTH ACCESS TO GOLDEN GATE BRIDGE DOYLE DR REPLACEMENT PROJECT-ALL	7/21/2010	32,300	32,300	2010	\$ 85,990	\$ 3,364,000	0	0	0	
04	1A2901	0756G	SON	012	REPLACE BRIDGE FOR SCOUR	1/21/2013	2,271	2,271	2012	\$ 2,500	\$ 2,260,000	182,500	0	54,150	
04	259410	0378C	NAP	029	REHABILITATE ROADWAY	6/1/2014	3,283	3,283	2012	\$ 3,765	\$ 1,935,000	190,850	3,400	76,020	
04	264071	0360J	MRN	101	CONSTRUCT INTERCHANGE ON RTE 101 AT SAN ANTONIO RD;INCLUDING FRONTAGE	4/26/2012	34,216	17,904	2012	\$ 36,994	\$ 3,408,000	1,172,904	88	41,983	
04	264081		SON	101	CONSTRUCT INTERCHANGE ON RTE 101 AT PETALUMA BLVD;INCLUDING FRONTAGE	5/11/2012	0		0	\$ -	\$ 1,660,000	200,302	325,363	0	
04	264091	0360G	MRN	101	REALIGN ROUTE 101 AT SAN ANTONIO CURVE	11/1/2013	5,202	5,202	2012	\$ 15,189	\$ 3,561,000	79,212	317,409	2,550,917	
04	4A0900	0382D	NAP	029	REPLACEMENT OF TROUTDAL CREEK BRIDGE ON A NEW ALIGNMENT	5/1/2014	1,630	1,630	2012	\$ 2,300	\$ 1,630,000	4,483	1,434	1,200	
05	33078	0226H	SLO	46	CONVERT TO 4-LANE EXPRESSWAY	5/1/2015	11,000	11,000	2010	\$ 1,000	\$ 1,000,000	45,890	(25,000)	539	
05	49280	4928	SLO	1	REALIGN ROADWAY	10/3/2013	14,170	14,170	2004	\$ 6,000	\$ 6,000,000	33,400	259,100	225,555	
05	315801	0058E	MON	101	CONSTRUCT NEW INTERCHANGE AT SAN JUAN ROAD	3/30/2012	20,000	9,550	2012	\$ 16,360	\$ 2,000,000	0	0	5,000	
05	344901	0297	SBT	156	WIDEN 2 TO 4 LANES	1/16/2015	14,636	14,636	2012	\$ 21,807	\$ 4,599,000	93,671	17,240	198,275	
05	4482U1	0482	SB	101	RECONSTRUCT INTERCHANGES (2) AND REPLACE CARPINTERIA CR BR	7/15/2014	9,388	5,394	2012	\$ 5,806	\$ 4,043,000	3,000,916	38,350	2,506,364	
06	0H6301	6468	TUL	198	ADA COMPLIANCE UPGRADES	5/1/2014	606	606	2012	\$ 1,386	\$ 1,386,000	0	80,000	0	
06	434011	8650A	TUL	065	WIDEN 2 LANE CONVENTIONAL HIGHWAY TO 4 LANE EXPRESSWAY	5/1/2015	5,730	5,730	2012	\$ 7,386	\$ 2,007,000	40,550	5,000	0	
06	457111	8042A	KER	014	CONVERT EXISTING 2-LANE TO 4-LANE EXPRESSWAY	1/2/2015	9,500	9,500	2012	\$ 9,500	\$ 3,049,000	0	0	2,250	
06	471501	6423	TUL	099	RECONSTRUCT INTERCHANGE	2/1/2016	16,000	6,000	2012	\$ 11,759	\$ 1,566,000	0	0	0	
07	1170U1	0309N	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS *COMB WITH 117081 & 111721	5/30/2013	25,594	25,594	2012	\$ 26,375	\$ 6,000,000	2,332,624	0	340,250	
07	1193U1	0310B	LA	010	CONSTRUCT HOV LANE IN EA DIRECTION *COMB 28900 + 11934 INTO 1193U	4/7/2014	8,000	8,000	2012	\$ 6,500	\$ 1,000,000	30,047	2,800,589	127,869	
07	127271	0694Q	LA	138	WIDEN CONVENTIONAL HIGHWAY (SEG 12) *SPLIT=1272U1	6/2/2011	6,606	6,606	2010	\$ 6,595	\$ 1,139,000	14,644	10,600	130	
07	202111	4137	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	5/11/2011	3,000	3,000	2010	\$ 2,270	\$ 1,500,000	2,200	(2,200)	0	
07	202121	4137A	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	4/3/2014	34,900	34,900	2012	\$ 23,297	\$ 6,000,000	2,145	0	35,016,412	
07	215921	2808	LA	005	ROADWAY WIDENING (SEG 2) *POR=2159A1	3/14/2014	249,994	89,757	2012	\$400,434	\$ 19,627,000	2,101,812	5,946,469	2,828,432	
07	215941	4155	LA	005	ROADWAY WIDENING (SEG 4) *POR=2159A1	3/9/2012	181,154	85,404	2012	\$239,388	\$ 10,178,000	1,480,148	87,230	117,250	
07	215951	4156	LA	005	ROADWAY WIDENING & STRIPING (SEG 5) *POR=2159A1	6/14/2013	36,452	36,452	2012	\$ 84,095	\$ 13,171,000	4,049,536	113,670	106,336	
07	4L2211	3732A	LA	001	ROADWAY STABILIZATION	6/5/2012	2,650	2,650	2010	\$ 2,000	\$ 1,700,000	81,863	16,639	1,688	

2013/14 FY Right of Way Capital Major Project List

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/ SHOPP	Doc Year	Total RW Capital Estimate	2013 FY Alloc Plan	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
07	4Y7001	4386	LA	405	FULL REMOVAL & PAINT STEEL GIRDERS	9/11/2014	1,052	1,052	2012	\$ 2,152	\$ 2,151,000	545	0	0	
07	4Y8501	4383	LA	103	SPOT PROP AND PAINT	2/3/2014	1,749	1,749	2012	\$ 1,476	\$ 1,317,000	0	400	0	
08	043511	0217F	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY	4/15/2014	38,536	38,536	2012	\$ 58,799	\$ 9,597,000	226,092	7,712,991	1,037,090	
08	0C1211	0259K	SBD	395	WIDEN MEDIAN & SHOULDER, INSTALL MEDIAN & SHOULDER RUMBLE STRIPS &	6/29/2011	4,908	4,908	2010	\$ 4,908	\$ 1,129,000	813	4,050	2,782	
08	0G9001	0253F	SBD	247	CONSTRUCT STANDARD PAVED SHOULDER	6/10/2014	4,847	4,847	2012	\$ 4,426	\$ 2,211,000	28,611	1,650	22,500	
08	3401U1	0239D	SBD	138	WIDEN TO 4 LNS FR BEEKLEY RD TO JCT 15, ADD 1 LN EB FR PHELAN RD TO	12/5/2013	3,569	3,569	2012	\$ 9,944	\$ 3,302,000	195,947	5,416,027	42,806	
08	355560	0174L	SBD	015	ADD N/B MIXED FLOW LANE W/AUX LANE. RECON "D" & "E" ST ICS & STODDARD	1/3/2014	13,826	13,826	2012	\$ 32,094	\$ 5,799,000	741,948	122,452	198,569	
11	167881	0021F	IMP	078	CONSTRUCT FOUR-LANE EXPRESSWAY AND INTERCHANGE - (STAGE 2)	5/24/2007	14,259	12,526	2010	\$ 24,438	\$ 1,493,000	8,355	10,302	30,919	
11	167891		IMP	078	CONSTRUCT FOUR LANE EXPRESSWAY	4/1/2010	26,375	13,371	2010	\$ 18,529	\$ 1,609,000	800,000	100	0	
12	0E3101	4102	ORA	074	RECONSTRUCT IC AT SR-74	4/19/2012	28,753	28,753	2012	\$ 28,753	\$ 4,500,000	3,123,196	1,634,374	331,169	
12	0H2081	3577A	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	5/16/2012	3,166	3,166	2012	\$ 2,500	\$ 1,001,000	0	14,340	2,489	
											\$ 146,778,000	20,681,732	24,945,092	45,929,268	



Division of Construction
CONTRACT ADMINISTRATION
Q3 Fiscal Year 2013/14 (\$ in Millions)



Construction Contracts - Quarterly Status Report ^a	Q3 12/13		Q4 12/13		Q1 13/14		Q2 13/14		Q3 13/14	
	Number	Value	Number	Value	Number	Value	Number	Value	Number	Value
1. Ongoing Contracts @ Beginning of Quarter	644	\$12,217	653	\$12,346	735	\$ 12,155	730	\$ 11,535	630	\$ 11,373
2. New Contracts this Quarter	115	\$ 925	176	\$ 397	80	\$ 267	84	\$ 596	58	\$ 331
3. Accepted Contracts this Quarter	106	\$ 796	94	\$ 588	85	\$ 887	184	\$ 758	80	\$ 494
Ongoing Contracts @ End of Quarter (1+2-3)	653	\$12,346	735	\$12,155	730	\$ 11,535	630	\$ 11,373	608	\$ 11,210
4. Accepted Contracts @ Beginning of Quarter	1,417	\$ 7,307	1,430	\$ 7,451	1,439	\$ 7,426	1,434	\$ 7,928	1,499	\$ 8,362
5. Accepted Contracts this Quarter	106	796	94	588	85	887	184	758	80	494
6. Contracts Closed this Quarter	89	647	79	483	83	281	117	320	108	582
7. New Contracts in Arbitration this Quarter	4	5	6	130	7	104	2	4	4	17
Accepted Contracts @ End of Quarter (4+5-6-7) ^b	1,430	\$ 7,451	1,439	\$ 7,426	1,434	\$ 7,928	1,499	\$ 8,362	1,467	\$ 8,257
Accepted Contracts with claims only @ End of Quarter ^c	91	\$794	65	\$778	71	\$995	68	\$941	67	\$905
8. Contracts in Arbitration @ Beginning of Quarter ^d	23	\$ 344	22	\$ 260	25	\$ 263	24	\$ 296	22	\$ 223
9. New Contracts in Arbitration this Quarter	4	5	6	130	7	104	2	4	4	17
10. Contracts with Arbitration Settlements/Awards this Quarter	5	89	3	127	8	71	4	77	2	73
Contracts in Arbitration @ End of Quarter (8+9-10)	22	\$ 260	25	\$ 263	24	\$ 296	22	\$ 223	24	\$ 167

- ^a Quarterly figures updated to reflect revised or new data at the end of the current quarter.
- ^b Accepted contracts with close-out activities in progress. Contracts in arbitration are not included.
- ^c Beginning Quarter 1 of FY 2010/2011, quarterly figures exclude contracts that have a district director determination (DDD), or district expenditures done (DED).
- ^d A contractor may file for arbitration 240 days after project acceptance, or within 90 days after final determinations on claims have been made. Contractors must file within 90 days after the Department on claims or lose opportunity for arbitration. (Contractors have 180 days to file on contract prior to January 1, 1999.)

Total dollar amount of claims at end of current quarter = \$68.5M
Total dollar amount filed for in arbitration at end of current quarter = \$39.5M

COMPLETED PROJECTS (CCA Milestone) COST INFORMATION

FY 2013-14 Completed STIP Projects, (STATE Funds Only Support, Right of Way, State & Local Funds for Construction Capital)

																	Total Overall Project				
				Project Development PJD (\$1,000's)				Right of Way Component (\$1,000's)				Construction Component (\$1,000's)									
D	CTY	RT	Description	PAED		PSE		RW Support		RW Capital		CON Support		SB1102 PROJ?	CON Capital						
				Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost		Bud	Cost					
				❌ Costs > 120%				⚠ Costs 100 - 120%				✅ Costs < 100%									
Quarter 1 Projects (7 Projects)																					
2	SHA	5	Widen Bridges	\$ 1,195	\$ 933	\$ 510	\$ 842	⚠	\$ 50	\$ 30	\$ -	\$ 0	✅	\$ 2,250	\$ 1,827	✅	N	\$ 19,463	\$ 18,605	✅	✅
3	SAC	99	Realign Ramps	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ 0	✅	\$ 750	\$ 741	✅	N	\$ 5,506	\$ 5,099	✅	✅
6	KIN	198	2-Ln Conventional to 4 Ln Exp	\$ 1,549	\$ 1,982	\$ 5,758	\$ 6,168	⚠	\$ 3,137	\$ 4,293	\$ 22,300	\$ 22,651	⚠	\$ 9,514	\$ 8,434	✅	N	\$ 51,764	\$ 51,753	✅	⚠
6	KER	46	Widen to 4 Lns	\$ 438	\$ 438	\$ 3,502	\$ 3,967	⚠	\$ 1,055	\$ 1,733	\$ 10,603	\$ 9,530	✅	\$ 9,900	\$ 4,092	✅	N	\$ 49,995	\$ 45,511	✅	✅
8	SBD	215	Construct Bridges	\$ -	\$ 114	\$ -	\$ 491	❌	\$ 2,585	\$ 1,743	\$ 9,666	\$ 7,310	✅	\$ 19,666	\$ 12,864	✅	N	\$ 76,879	\$ 71,417	✅	✅
10	SJ	205	Additl Lanes and Ret Walls	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ 0		\$ 2,900	\$ 1,282	✅	N	\$ 11,860	\$ 11,104	✅	✅
11	SD	15	Managed Lanes North	\$ -	\$ -	\$ 3,749	\$ 1,250	✅	\$ 150	\$ 38	\$ 500	\$ 175	✅	\$ 1,000	\$ 1,000	✅	N	\$ 54,276	\$ 50,411	✅	✅
Quarter 2 Projects (12 Projects)																					
01	DN	101	Yurok Tribe Transp Corr	\$ 100	\$ 87	\$ 80	\$ 135	❌	\$ 5	\$ 2	\$ -	\$ 0	✅	\$ 75	\$ 97	❌	N	\$ 325	\$ 255	✅	✅
02	SHA	005	Castella Vista Point	\$ 57	\$ 58	\$ 184	\$ 182	✅	\$ 6	\$ -	\$ -	\$ -	✅	\$ 85	\$ 127	❌	N	\$ 420	\$ 379	✅	✅
02	TEH	099	Los Molinos, segment 2.	\$ 47	\$ -	\$ 276	\$ 45	✅	\$ 162	\$ 61	\$ 206	\$ -	✅	\$ 540	\$ 263	✅	N	\$ 3,039	\$ 2,804	✅	✅
03	ED	050	Tree Planting	\$ 20	\$ 52	\$ 31	\$ 9	⚠	\$ 10	\$ 1	\$ -	\$ -	✅	\$ 100	\$ 103	⚠	N	\$ 318	\$ 318	✅	✅
03	PLA	080	Pla-80 HOV Phase 3	\$ -	\$ -	\$ 3,500	\$ 2,610	✅	\$ 200	\$ 38	\$ 400	\$ 20	✅	\$ 5,300	\$ 2,164	✅	N	\$ 25,404	\$ 25,245	✅	✅
03	SAC	050	Highway 50 Bus & Aux lanes	\$ 5,008	\$ 4,659	\$ 6,688	\$ 8,249	⚠	\$ 1,311	\$ 1,391	\$ 1,000	\$ 478	✅	\$ 14,345	\$ 12,039	✅	N	\$ 70,698	\$ 68,106	✅	✅
04	SON	101	US 101 HOV Lanes - Wilfred	\$ 5,018	\$ 4,994	\$ 8,104	\$ 6,830	✅	\$ 1,350	\$ 1,220	\$ 7,230	\$ 4,428	✅	\$ 6,600	\$ 7,477	⚠	N	\$ 46,012	\$ 46,012	✅	✅
04	SM	101	US SM 101 Aux Ln fr University Ave	\$ 2,800	\$ 2,761	\$ 6,056	\$ 5,644	✅	\$ 316	\$ 244	Local	Local	✅	\$ 8,259	\$ 2,794	✅	N	\$ 17,441	\$ 15,973	✅	✅
04	ALA	680	FPI Ala 680	\$ 1,400	\$ -	\$ 700	\$ 33	✅	\$ -	\$ -	\$ 20	\$ 0	✅	\$ 1,000	\$ 997	✅	N	\$ 5,673	\$ 4,628	✅	✅
07	LA	138	Rte 138 Widening	\$ 462	\$ 462	\$ 4,200	\$ 4,120	✅	\$ 2,399	\$ 2,041	\$ 1,508	\$ 3,140	❌	\$ 2,400	\$ 1,395	✅	N	\$ 6,887	\$ 6,598	✅	✅
12	ORA	005	CONST SDWLLS & WIDEN BR	Local	Local	Local	Local		\$ 86	\$ 4	\$ 25	\$ 4	✅	\$ 688	\$ 859	❌	N	\$ 3,094	\$ 3,066	⚠	⚠
12	ORA	005	Jamboree SB Off Aux Ln	\$ 424	\$ 424	\$ 1,150	\$ 1,049	✅	\$ 16	\$ 19	\$ 16	\$ 5	✅	\$ 959	\$ 940	✅	N	\$ 3,467	\$ 3,123	✅	✅
Quarter 3 Projects (10 Projects)																					
1	Men	1	Pacific Coast Bike Route Ph 2	\$ 152	\$ 152	\$ 75	\$ 100	⚠	\$ 10	\$ 4	\$ 5	\$ 3	✅	\$ 150	\$ 79	✅	N	\$ 669	\$ 541	✅	✅
2	Sis	97	Carrick Two Way Left Turn Ln	\$ 107	\$ 126	\$ 118	\$ 164	❌	\$ 14	\$ 29	\$ 4	\$ 25	❌	\$ 151	\$ 112	✅	N	\$ 721	\$ 624	✅	✅
2	Sis	3	Juniper Drive Left Turn Lanes	\$ 107	\$ 101	\$ 118	\$ 186	❌	\$ 14	\$ 17	\$ 5	\$ 3	⚠	\$ 151	\$ 150	✅	N	\$ 814	\$ 754	✅	⚠
2	Tri	3	Hayfork II TE Project	\$ 139	\$ 139	\$ 168	\$ 205	⚠	\$ 13	\$ 14	\$ 3	\$ 3	⚠	\$ 120	\$ 136	⚠	N	\$ 755	\$ 575	✅	✅
3	But	70	SR 70 Passing Lanes	\$ 216	\$ 216	\$ 1,950	\$ 2,132	⚠	\$ 834	\$ 1,056	\$ 2,600	\$ 1,345	✅	\$ 1,800	\$ 1,553	✅	N	\$ 12,000	\$ 7,873	✅	✅
3	PLA	65	Lincoln Bypass	\$ 5,600	\$ 3,915	\$ 13,400	\$ 14,406	✅	\$ 3,000	\$ 3,442	\$ 83,750	\$ 75,604	✅	\$ 22,000	\$ 23,400	⚠	N	\$ 166,702	\$ 160,697	✅	✅
4	Son	101	Central B Son Hwy 101 HOV	Local	Local	Local	Local		Local	Local	Local	Local		\$ 3,000	\$ 2,781	✅	N	\$ 13,312	\$ 11,999	✅	✅
6	Fre	41	Tree Planting	\$ 47	\$ 57	\$ 254	\$ 238	✅	\$ -	\$ -	\$ -	\$ 0		\$ 278	\$ 324	⚠	N	\$ 1,166	\$ 578	✅	✅
6	Fre	99	RTE 99 Replacement Planting	\$ 44	\$ 47	\$ 300	\$ 309	⚠	\$ -	\$ -	\$ -	\$ 0		\$ 325	\$ 425	❌	N	\$ 1,061	\$ 578	✅	✅
10	Sta	99	Tree Planting	\$ 57	\$ 56	\$ 261	\$ 270	⚠	\$ 1	\$ -	\$ -	\$ 0	✅	\$ 200	\$ 290	❌	N	\$ 966	\$ 505	✅	✅
Quarter 4 Projects																					
Total All Projects (29 Projects)																					
				\$ 24,987	\$ 21,773	\$ 61,132	\$ 59,634	✅	\$ 16,724	\$ 17,420	\$ 139,841	\$ 124,724	✅	\$ 114,506	\$ 88,745	✅		\$ 650,687	\$ 615,131	✅	✅

FY 2013-14 Completed SHOPP Projects

																	Total Overall Project								
Project Development PJD																	Right of Way Component				Construction Component				
PAED				PSE				RW Support				RW Capital				CON Support		CON Capital							
D	CTY	RT	Description	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost								
				☒ Costs > 120%				◆ Costs 100 - 120%				☑ Costs < 100%													
Total Q1 Projects (48 Projects)				\$ 12,607	\$ 13,068	\$ 45,611	\$ 39,034	☑	\$ 3,054	\$ 2,342	\$ 9,218	\$ 4,698	☑	\$ 61,893	\$ 47,821	☑	\$ 359,927	\$ 262,327	☑	☑					
Total Q2 Projects (53 Projects)				\$ 6,485	\$ 6,053	\$ 26,540	\$ 23,655	☑	\$ 3,280	\$ 1,791	\$ 4,274	\$ 1,402	☑	\$ 44,142	\$ 32,598	☑	\$ 253,524	\$ 209,797	☑	☑					
Total Q3 Projects (37 Projects)				\$ 8,854	\$ 9,340	\$ 80,152	\$ 65,433	☑	\$ 5,532	\$ 4,154	\$ 17,634	\$ 8,368	☑	\$ 111,437	\$ 105,236	☑	\$ 752,374	\$ 612,772	☑	☑					
Total Q4 Projects (0 Projects)																									
Total All Projects (138 Projects)				\$ 27,946	\$ 28,461	\$ 152,303	\$ 128,122	☑	\$ 11,866	\$ 8,287	\$ 31,126	\$ 14,468	☑	\$ 217,472	\$ 185,655	☑	\$ 1,365,825	\$ 1,084,896	☑	☑					

Support to Capital Table: The table to the right is being provided as recommended by the Bureau of State Audits in 2011 audit.

Capital Cost \$1-5 Million
 Capital Cost \$5-10 Million
 Capital Cost \$10-15 Million
 Capital Cost \$15-25 Million
 Capital Cost \$25-140 Million

# Projects	S/C Ratio	Goal
73	62.6	< 60
16	56.0	< 45
17	42.8	< 35
12	35.5	< 32
12	23.2	< 30