

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 28-29, 2012

Reference No.: 2.5g.(3b)
Action Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rachel Falsetti
Division Chief
Transportation Programming

Subject: **FINANCIAL ALLOCATION ADJUSTMENT FOR AWARD
RESOLUTION STIP1B-AA-1112-005, AMENDING RESOLUTIONS STIP1B-AA-1112-004 and
STIP1B-A-1112-002
RESOLUTION CMIA-AA-1112-026, AMENDING RESOLUTIONS CMIA-AA-1112-024 and
CMIA-A-1112-007**

RECOMMENDATION:

The Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve an adjustment to the Proposition 1B State Transportation Improvement Program (STIP) allocation amounts for Segment 1 (PPNO 0367D) and Segment 2 (PPNO 0367I) of the State Route 12 Jameson Canyon Widening – Phase 1 project in Solano and Napa Counties, in accordance with Assembly Bill 608.

ISSUE:

At its August 2011 meeting, the Commission approved Resolutions CMIA-A-1112-007 and STIP1B-A-1112-002 allocating \$73,999,000 in Proposition 1B Corridor Mobility Improvement Account (CMIA), \$6,890,000 in Regional Improvement Program (RIP) and \$3,120,000 in Interregional Improvement Program (IIP) construction funds for Segment 1 and \$23,000,000 in CMIA, \$3,710,000 in RIP and \$3,890,000 in IIP construction funds for Segment 2 of the State Route 12 Jameson Canyon Widening, Phase 1 project.

The Segment 1 (PPNO 0367D) contract was awarded on January 11, 2012 with a total savings of \$17,707,000 (\$14,641,000 [CMIA], \$2,110,000 [RIP], and \$956,000 [IIP]). The Segment 2 (PPNO 0367I) contract was awarded on January 11, 2012 with a total savings of \$6,059,000 (\$4,482,000 [CMIA], \$770,000 [RIP], and \$807,000 [IIP]).

At its February 2012 meeting, the Commission approved resolutions CMIA-AA-1112-024 and STIP1B-AA-1112-004 to de-allocate the CMIA savings from both segments.

BACKGROUND:

Section 188.8 of the Streets and Highways Code allows the Commission to adjust an allocation amount for a capital outlay project in the STIP if the construction contract award amount for the project is less than 80 percent of the engineer's final estimate. The result would be an adjustment to

interregional and/or county shares. The legislation is permissive and it is understood that adjustments to allocations are at the discretion of the Commission.

The Department, the Solano Transportation Authority, and the Napa County Transportation Planning Agency are requesting combined adjustments of \$1,763,000 to interregional shares, \$1,901,000 to Solano County shares, and \$979,000 to Napa County shares for both Segment 1 (PPNO 0367D) and Segment 2 (PPNO 0367I) of the State Route 12 Jameson Canyon Widening – Phase 1 project in Solano and Napa Counties in accordance with the Assembly Bill 608. The following tables summarize the project award information for each contract.

Segment 1 (PPNO 0367D)

Item	IIP	RIP	CMIA	Total
Contractor's Bid Amount	\$1,781,235	\$3,934,522	\$29,919,651	\$35,635,408
Supplemental Work	\$133,124	\$294,053	\$2,236,098	\$2,663,275
State Furnished Materials	\$52,914	\$116,879	\$888,797	\$1,058,590
Contingencies	\$196,727	\$434,545	\$3,304,455	\$3,935,727
Total Project Award Allotment ¹	\$2,164,000	\$4,780,000	\$36,349,000	\$43,293,000
CTC Allocation	\$3,120,000	\$6,890,000		
Adjustment	(\$956,000)	(\$2,110,000) ²		

Segment 2 (PPNO 0367I)

Item	IIP	RIP	CMIA	Total
Contractor's Bid Amount	\$2,503,084	\$2,386,982	\$15,034,740	\$19,924,806
Supplemental Work	\$337,451	\$321,798	\$2,026,892	\$2,686,141
State Furnished Materials	\$95,656	\$91,219	\$574,558	\$761,433
Contingencies	\$146,810	\$140,000	\$881,810	\$1,168,620
Total Project Award Allotment ¹	\$3,083,000	\$2,940,000	\$18,518,000	\$24,541,000
CTC Allocation	\$3,890,000	\$3,710,000		
Adjustment	(\$807,000)	(\$770,000) ³		

Notes:

¹ Rounded to the nearest thousand

² \$1,393,000 (Solano), \$717,000 (Napa)

³ \$508,000 (Solano), \$262,000 (Napa)

FINANCIAL RESOLUTION:

Resolved, pursuant to Section 188.8 of the Streets and Highways Code, that the amounts allocated on October 12, 2010, under Resolution CMIA-A-1112-007 and Resolution STIP1B-1112-002, for the Segment 1 (PPNO 0367D) and Segment 2 (PPNO 0367I) of the State Route 12 Jameson Canyon Widening – Phase 1 project in Solano and Napa Counties be adjusted, in accordance with the attached revised vote boxes.

Attachment

2.5 Highway Financial Matters

Project # Allocation Amount Recipient RTPA/CTC Dist-Co-Rte Postmile	Project Title Location Project Description Project Funding	PPNO Program/Year Phase Prgm'd Amount Project ID Adv Phase EA	Budget Year Item # Fund Type Program Code	Amount by Fund Type
2.5g.(3b) Proposition 1B – Allocation Amendment State Administered Multi-Program STIP/CMIA Project on the State Highway System (AB 608)				
Resolution STIP1B-AA-1112-005, Amending Resolution STIP1B-AA-1112-004 and Resolution STIP1B-A-1112-002 Resolution CMIA-AA-1112-026, Amending Resolution CMIA-AA-1112-024 and Resolution CMIA-A-1112-007				
1 \$46,359,000 \$43,293,000	State Route 12 Jameson Canyon Widening – Phase 1 Near Fairfield, from 0.5 mile west of Napa/Solano County Line to Red Top Road in Solano County. Construct two lanes, add a median barrier, and a median opening Segment 1. (TCRP 157)	04-0367D CMIA/09-10 CONST \$36,349,000	2010-11 304-6055 CMIA 20.20.721.000	\$36,349,000
Department of Transportation MTC 04N-Sol-12 0.0/2.6	Final Project Development (IIP) Support Estimate: \$126,000 Programmed Amount: <u>\$126,000</u> Adjustment: \$ 0	(Solano) RIP/10-11 CONST \$4,550,000 \$3,157,000	304-6058 TFA 20.20.075.600	\$6,890,000 \$4,780,000
	Final Project Development (RIP-Napa) Support Estimate: \$449,000 Programmed Amount: <u>\$449,000</u> Adjustment: \$ 0	(Napa) RIP/10-11 CONST ENG \$5,850,000	304-6058 TFA 20.20.025.700	\$3,120,000 \$2,164,000
	Final Right of Way (RIP-Napa) Right of Way Estimate: \$6,490,000 Programmed Amount: <u>\$6,490,000</u> Adjustment: \$ 0	CONST \$2,340,000 \$1,623,000		
	(Project Scope is consistent with the amended baseline agreement approved under Resolution CMIA-PA-1011-023 in March 2011.)	IIP/10-11 CONST ENG \$3,400,000 CONST \$3,120,000 \$2,164,000		
	(Future Consideration of Funding – Resolution E-08-08, July 2008.)	0400002023 4 264144		
	<u>(Amended allocation reflects award saving of \$3,066,000 in STIP CONST to be returned to Interregional, Solano and Napa County shares.)</u>			
	Outcome/Output: When combined with PPNO 03671, the overall Jameson Canyon Project will result in daily vehicle- hours of delay savings of about 3,898 hours.			

2.5 Highway Financial Matters

Project # Allocation Amount Recipient RTPA/CTC Dist-Co-Rte Postmile	Project Title Location Project Description Project Funding	PPNO Program/Year Phase Prgm'd Amount Project ID Adv Phase EA	Budget Year Item # Fund Type Program Code	Amount by Fund Type
<p>2.5g.(3b) Proposition 1B – Allocation Amendment State Administered Multi-Program STIP/CMIA Project on the State Highway System (AB 608)</p> <p style="text-align: right;">Resolution STIP1B-AA-1112-005, Amending Resolution STIP1B-AA-1112-004 and Resolution STIP1B-A-1112-002 Resolution CMIA-AA-1112-026, Amending Resolution CMIA-AA-1112-024 and Resolution CMIA-A-1112-007</p>				
2 \$26,148,000 \$24,541,000	State Route 12 Jameson Canyon Widening – Phase 1 Near Fairfield, On Route 12 in Napa County, from State Route 29 junction to 0.1 mile west of Napa/Solano County line. Construct two lanes and add a median barrier. Segment 2. (TCRP 157)	04-03671 CMIA/09-10 CONST \$18,518,000	2010-11 304-6055 CMIA 20.20.721.000	\$18,518,000
Department of Transportation MTC 04N-Nap-12 0.0/3.2	Final Project Development (IIP) Support Estimate: \$68,000 Programmed Amount: <u>\$68,000</u> Adjustment: 0	RIP/10-11 (Solano) CONST \$2,450,000 \$1,942,000	304-6058 TFA 20.20.075.600	\$3,740,000 \$2,940,000
	Final Project Development (RIP-Napa) Support Estimate: \$242,000 Programmed Amount: <u>\$242,000</u> Adjustment: 0	RIP/10-11 (Napa) CONST ENG \$3,150,000 CONST \$1,260,000 \$998,000	304-6058 TFA 20.20.025.700	\$3,890,000 \$3,083,000
	Final Right of Way (RIP-Napa) Right of Way Estimate : \$ 3,510,000 Programmed Amount: <u>\$ 3,510,000</u> Adjustment: \$ 0	IIP/10-11 CONST ENG \$1,700,000 CONST \$3,890,000 \$3,083,000		
	(Project Scope consistent with the amended baseline agreement approved under Resolution CMIA-PA-1011-023 in January 2011.)	0400002022 4 264134		
	(Future Consideration of Funding – Resolution E-08-08, July 2008.)			
	<u>(Amended allocation reflects award saving of \$1,577,000 in STIP CONST to be returned to Interregional, Solano and Napa County shares.)</u>			
	<u>Outcome/Output:</u> When combined with PPNO 0367D, the overall Jameson Canyon Project will result in daily vehicle- hours of delay savings of about 3,898 hours.			