State of California
DEPARTMENT OF TRANSPORTATION

Business, Transportation and Housing Agency

Memorandum

TAB 30

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: February 22-23, 2012

Reference No.: 3.6
Action Item

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Subject: **STATUS UPDATE ON STATE ROUTE 99 (SR 99) PROJECTS**

SUMMARY:

The California Department of Transportation (Department) is providing an update on the State Route 99 (SR 99) projects remaining to be delivered and not yet allocated by the California Transportation Commission (Commission). The Department has performed an assessment on each project, and identified critical issues and then assigned a risk level.

As of February 2012, there are ten SR 99 projects totaling approximately $400 million of bond funds yet to be allocated by the Commission. Of the remaining ten projects yet to be allocated, six are considered to have a medium risk for delivery. A risk assessment summary for each project is also included.

BACKGROUND:

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 passed by the voters in November 2006 included $1.0 billion for the State Route 99 Corridor. The Commission adopted guidance stating the SR 99 projects shall be awarded not later than December 31, 2012. The attached is a status update for the remaining $400 million yet to be allocated.

YELLOW MEETING HANDOUT

#	Risk	Co	Rte	Project Title	CTC Meeting Allocation
1	Low	SAC	99	SR 99/Elverta Rd. Interchange	Feb-12
2	Low	SUT	99	SR 99/Riego Road Interchange	Mar-12
3	Medium Low	SUT	99	State Route 99/113 Interchange	Jun-12
4	Medium High	FRE	99	Island Park 6-Lane	Mar-12
5	Medium High	MAD	99	Ave 12 Interchange	Jun-12
6	Low	TUL	99	Goshen to Kingsburg Landscape	Jun-12
7	Medium High	TUL	99	Tulare to Goshen 6-Lane	June-12
8	Low	MER	99	Fwy Upgrade & Plainsburg I/C	Feb-12
9	Medium Low	SJ	99	Manteca Phase 3	Jun-12
10	Medium High	SJ	99	SR 99 (South Stockton) Widening	Apr-12

MEETING HANDOUT

Definition of Risk

Low ☑ - Projects within this category contain no known issues that will affect delivery.

Medium Low ◆ - Projects within this category have identified delivery challenges that could still affect on-time delivery. Projects within this group are being actively managed to stay on approved schedules.

Medium High ●- Projects within this category have numerous challenges. Projects are being aggressively managed to stay on the approved schedule.

High ☒ - Without change, these projects cannot be delivered and funded consistent with the program requirements.

SR99 Program Assessment

The Department has performed a project by project review to assess projects and identify critical items that remain to deliver each project and the level of risk associated with the remaining projects. The items have been listed here for Commission information and identified as either as right of way, environmental permitting, or design related risk. "Risk" is a judgment call at a point in time based on known issues. These issues have the ability to be resolved quickly or to turn into lengthy negotiations, and project risks change over time.

There is some concern about the time line for the 2012 Army Corp Nationwide Permit (NWP) re-authorization. The 2007 NWP will expire on March 18, 2012. Currently no SR99 projects that are advertised are impacted by this issue. The Department has spoken with the Corps and has been told that the 2012 Nationwide Permits should be reissued by the time the current permits expire on March 19th. If they issue the permit on schedule, there will not be any delays to projects due to the reissuance of the NWPs and regional conditions.

CALTRANS DISTRICT 3

SACRAMENTO COUNTY
Elverta Road Interchange

Allocation Feb. Delivery Agency Sac County Con Bond $23,110 Total Con $28,400

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 12/1/10 RWC#2	1/5 /12	Apv End Design Date 12/1/10	2/10/12	None	
1 residential parcel					
3 utilities to be relocated					

Overall Risk: ☑ Low Critical Items: February 2012 allocation request. The project was delayed due to need to condemn for right of way and utility work. Physical possession RWC #1 received 2/10/12.

SUTTER COUNTY
Riego Road Interchange

Allocation March Delivery Agency Caltrans Con Bond $17,610 Total Con $22,000

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 9/1/11 RWC#2	completed	Apv End Design Date 4/1/11	3/1/12	ACOE	3/24/12
				RWQCB	3/24/12

Overall Risk: ☑ Low Critical Items: Target March allocation in final negotiation with developer on mitigation.

SR 99/113 Interchange

Allocation June Delivery Agency Caltrans Con Bond $15,205 Total Con $15,205

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 6/1/12 RWC#3W	6/11/12	Apv End Design Date 6/1/12	6/18/12	None	
1 residential fee,					
2 TCE's, 3 PTE's					
Anticipate 1 uncontested RON					

Overall Risk: ◆ Medium Low Critical Items: Risk is related to the late delivery schedule.

YELLOW MEETING HANDOUT

CALTRANS DISTRICT 6

FRESNO COUNTY
Island Park 6-Lane

Allocation March Delivery Agency Caltrans Con Bond $54,000 Total Con $54,000

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 5/1/12 RWC#3W	3/1/12	Apv End Design Date 3/1/12	3/1/12	F&G, ACOE, RWQCB	3/1/12
2 residential, 5 commercial parcels	9/2012			Flood Protection	3/23/12
4 uncontested RON's anticipated.	2/2012			State Lands	3/1/12
UPRR agreement	1/17/12(A)				

Overall Risk: ● Medium High Critical Items: Risk is due to the number of RONs and permit negotiations.

MADERA COUNTY
Ave 12 Interchange

Allocation June Delivery Agency Caltrans Con Bond $43,600 Total Con $50,000

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 7/1/12 RWC#3W	5/1/12	Apv End Design Date 5/1/12	5/1/12	F&G, ACOE	5/1/12
7 residential, 10 commercial parcels	5/1/12	C&M agreement	5/1/12	RWQCB	5/1/12
Anticipate 1 contested RON's.	5/1/12	Freeway Agreement resolution of change	5/1/12		
8 utility agreements.	5/1/12				

Overall Risk: ● Medium High Critical Items: Risk is associated with securing necessary right of way. Additional funds are need for Right of way capital and support which is proposed to be funded with STIP. Possible March or April RON.

TULARE COUNTY

Tulare to Goshen 6 Lane

Allocation June Delivery Agency Caltrans Con Bond $40,000 Total Con $40,000

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 4/20/12 RWC#2	4/20/12	Apv End Design Date 5/3/12	5/3/12	F&G, RWQCB	5/ 2/12
1 utility agreement	4/20/12	Design Exception	3/15/12	BO amendment	3/15/12
RR C&M Agmt	4/20/12	Longitudinal utility exception approval.	3/1/12		

Overall Risk: ● Medium High Critical Items: The risk is associated with railroad C&M agreement and permits.

Goshen to Kingsburg Landscape

Allocation June Delivery Agency Caltrans Con Bond $5,000 Total Con $5,000

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 8/1/13 RWC#2	6/7/12	Apv End Design Date 9/16/13	6/7/12	None	

Overall Risk: ☑ Low Critical Items: This project is schedule for a June allocation, no known issues.

YELLOW MEETING HANDOUT

CALTRANS DISTRICT 10

MERCED COUNTY

Plainsburg Interchange & Freeway

Allocation Fegruary Delivery Agency Caltrans Con Bond $94,700 Total Con $94,700

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 9/1/10 RWC#2	Complete	Apv End Design Date 9/1/10	Complete	None	
Overall Risk: ☑ Low Critical Items: The project is ready to be allocated at February CTC meeting.					

SAN JOAQUIN COUNTY

Manteca Phase 3

Allocation June Delivery Agency SJCOG Con Bond $6,010 Total Con $85,471

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 3/1/12 RWC# 3W	3/1/12	Apv End Design Date 7/2/12	6/2/12	None	
2 residential, 1 mixed use parcels					
6 agricultural, 4 commercial parcels					
6 utility agreements					
Overall Risk: ◆ Medium Low Critical Items: The risk is in the efforts to accelerate delivery.					

South Stockton Widening

Allocation April Delivery Agency Caltrans Con Bond $101,100 Total Con $154,000

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 1/1/12 RWC#3W	3/1/12	Apv End Design Date 2/1/12	3/30/12	ACOE	11/2/11 (A)
3 residential, 13 commercial parcels		C&M Agreement letter	3/1/12	F&G	12/28/11 (A)
2 public use, 1 railroad parcel				RWQCB	2/15/12 (A)
4 uncontested RON's anticipated		12 utility agreements	4/1/12	CVFP	3/23/12
Overall Risk: ● Medium High Critical Items: Risk is related to the number of parcels and agreements in addition to obtaining permits.					

Dollars are in $1,000's.

YELLOW MEETING HANDOUT