

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

<sup>2</sup> B-1

CONSTRUCTION ON STATE HIGHWAY IN  
LASSEN AND SIERRA COUNTIES AT VARIOUS LOCATIONS  
In District 02 on Route 70, 395

UNDER

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Notice to Bidders and Special Provisions dated September 19, 2016  
Project plans approved June 15, 2016  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 02-4E4204

02-Las,Sie-70, 395-3.5/3.9, R0.0/R3.1,R0.0/R5.6

Project ID 0200020283

FEDERAL-AID PROJECT  
ACST-000C(445)E

Bids open Wednesday, November 2, 2016

Dated September 19, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
Contract No.: 02-4E4204 Project ID: 0200020283  
Bidder Name: Granite Construction Company  
BID TO THE DEPARTMENT OF TRANSPORTATION  
DES-OE-0102.1

Bidder ID: VC0000000213

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Name of Bidder Granite Construction Company  
Business Street Address 585 West Beach Street  
(Include even if P.O. Box is used)  
Business P.O. Box  
City, State, Zip Watsonville , CA, 95076  
Telephone No: AREA CODE 775-358-8792  
Fax No: AREA CODE 775-355-3427  
Contractor License No. 89  
Public Works Contractor Registration No. 1000000085  
Checksum 11A32A54

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
10-21-16 Revise Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Kyle Larkin AND  
TITLE OF PERSON SIGNING: Regional Vice President

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BY (Authorized signature)

DATE SIGNED ( Do not type)

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BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030 PLAN	LEAD COMPLIANCE	LUMP SUM LS	LUMP SUM	1,300.00
2	120090 AREA SIGNS	CONSTRUCTION	LUMP SUM LS	LUMP SUM	6,100.00
3	120100 SYSTEM	TRAFFIC CONTROL	LUMP SUM LS	LUMP SUM	568,700.00
4	128652 (LS)	PORTABLE CHANGEABLE MESSAGE SIGN	LUMP SUM LS	LUMP SUM	25,000.00
5	130100 MANAGEMENT	JOB SITE	LUMP SUM LS	LUMP SUM	110,000.00
6	130300 PREVENTION PLAN	PREPARE STORM WATER POLLUTION	LUMP SUM LS	LUMP SUM	1,950.00
7	130330 ANNUAL REPORT	STORM WATER	1.0 EA	2,000.00	2,000.00
8	130530 FIBER MATRIX)	TEMPORARY HYDRAULIC MULCH (BONDED	2,100.0 SQYD	1.20	2,520.00
9	130610 DAM	TEMPORARY CHECK	100.0 LF	65.00	6,500.00
10	130620 PROTECTION	TEMPORARY DRAINAGE INLET	4.0 EA	950.00	3,800.00
11	130640 ROLL	TEMPORARY FIBER	2,500.0 LF	10.50	26,250.00
12	130650 BAG BERM	TEMPORARY GRAVEL	45.0 LF	60.00	2,700.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	150,000.00
14	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	3,300.00
15	141000	TEMPORARY FENCE (TYPE ESA)	120.0 LF	30.00	3,600.00
16	141120	TREATED WOOD WASTE	35,000.0 LB	0.25	8,750.00
17	150100	PUBLIC SAFETY PLAN	LUMP SUM LS	LUMP SUM	1,300.00
18	150661	REMOVE GUARDRAIL	1,400.0 LF	7.61	10,654.00
19	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	250.0 LF	3.81	952.50
20	150742	REMOVE ROADSIDE SIGN	110.0 EA	50.00	5,500.00
21	150762	REMOVE SIGN FROM SIGN FRAME	1.0 EA	500.00	500.00
22	150809	REMOVE CULVERT (LF)	400.0 LF	36.00	14,400.00
23	150820	REMOVE INLET	3.0 EA	3,000.00	9,000.00
24(F)	045513	REMOVE POLYESTER CONCRETE OVERLAY	12,105.0 SQFT	3.60	43,578.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	151224	REMOVE DELINEATOR	660.0 EA	5.00	3,300.00
26	151540	RECONSTRUCT CHAIN LINK FENCE	300.0 LF	34.00	10,200.00
27	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	14,900.0 SQYD	4.00	59,600.00
28	153225	PREPARE CONCRETE BRIDGE DECK SURFACE	18,345.0 SQFT	1.18	21,647.10
29	153227	FURNISH POLYESTER CONCRETE OVERLAY	1,756.0 CF	107.00	187,892.00
30(F)	153228	PLACE POLYESTER CONCRETE OVERLAY	18,345.0 SQFT	4.31	79,066.95
31	156590	REMOVE CRASH CUSHION (SAND FILLED)	22.0 EA	150.00	3,300.00
32	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	100,000.00
33	170101	DEVELOP WATER SUPPLY	LUMP SUM LS	LUMP SUM	5,000.00
34	190101	ROADWAY EXCAVATION	4,650.0 CY	43.00	199,950.00
35	190185	SHOULDER BACKING	11,100.0 TON	19.00	210,900.00
36	194001	DITCH EXCAVATION	10.0 CY	280.00	2,800.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	198010 (CY)	IMPORTED BORROW	6,970.0 CY	24.60	171,462.00
38	210250 (BONDED FIBER MATRIX) (SQFT)	EROSION CONTROL	188,000.0 SQFT	0.17	31,960.00
39	220101 ROADWAY	FINISHING	LUMP SUM LS	LUMP SUM	50,000.00
40	260203 AGGREGATE BASE (CY)	CLASS 2	2,320.0 CY	87.00	201,840.00
41	390011 INERTIAL PROFILER	PREPAVING	LUMP SUM LS	LUMP SUM	4,600.00
42	390020 GRINDING DAY	PREPAVING	6.0 EA	7,520.00	45,120.00
43	390095 CONCRETE SURFACING	REPLACE ASPHALT	2,330.0 CY	290.00	675,700.00
44	390132 (TYPE A)	HOT MIX ASPHALT	2,330.0 TON	124.00	288,920.00
45	390137	RUBBERIZED HOT MIX ASPHALT (GAP GRADED)	47,200.0 TON	85.00	4,012,000.00
46	394060	DATA CORE	LUMP SUM LS	LUMP SUM	2,500.00
47	394075	PLACE HOT MIX ASPHALT DIKE (TYPE D)	36.0 LF	80.00	2,880.00
48	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	57.0 LF	80.00	4,560.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
49	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	1,740.0 SQYD	10.00	17,400.00
50	397005	TACK COAT	190.0 TON	350.00	66,500.00
51	510081	AGGREGATE BASE (APPROACH SLAB)	24.0 CY	1,600.00	38,400.00
52	510087	STRUCTURAL CONCRETE, APPROACH SLAB (TYPE R)	238.0 CY	1,500.00	357,000.00
53(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	5.7 CY	5,000.00	28,500.00
54	510800	PAVING NOTCH EXTENSION	485.0 CF	250.00	121,250.00
55	519081	JOINT SEAL (MR 1/2")	645.0 LF	22.00	14,190.00
56	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	220.0 SQFT	9.46	2,081.20
57	560249	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED)	360.0 SQFT	10.05	3,618.00
58	032103	FURNISH SINGLE SHEET ALUMINUM SIGN FOR RETROREFLECTIVE SHEETING (TYPE XI) (0.063"-UNFRAMED)	210.0 SQFT	7.10	1,491.00
59	032104	FURNISH SINGLE SHEET ALUMINUM SIGN FOR RETROREFLECTIVE SHEETING (TYPE XI) (0.063" - FRAMED)	280.0 SQFT	10.64	2,979.20

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
60	560252	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-FRAMED)	240.0 SQFT	13.60	3,264.00
61	032105	FURNISH SINGLE SHEET ALUMINUM SIGN FOR RETROREFLECTIVE SHEETING (TYPE XI) (0.080"-FRAMED)	630.0 SQFT	11.23	7,074.90
62	032106	RETROREFLECTIVE SHEETING (TYPE XI)	2,420.0 SQFT	4.73	11,446.60
63	032107	FURNISH LAMINATED PANEL SIGN FOR RETROREFLECTIVE SHEETING (TYPE XI) (1"-TYPE B)	780.0 SQFT	17.74	13,837.20
64	032108	FURNISH LAMINATED PANEL SIGN FOR RETROREFLECTIVE SHEETING (TYPE XI) (1"-TYPE A)	94.0 SQFT	17.74	1,667.56
65	032109	FURNISH SINGLE SHEET ALUMINUM SIGN FOR RETROREFLECTIVE SHEETING (TYPE XI) (0.080"-UNFRAMED)	440.0 SQFT	7.69	3,383.60
66	566011	ROADSIDE SIGN - ONE POST	74.0 EA	245.00	18,130.00
67	566012	ROADSIDE SIGN - TWO POST	24.0 EA	495.00	11,880.00
68	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	6.0 EA	100.00	600.00
69	568016	INSTALL SIGN PANEL ON EXISTING FRAME	94.0 SQFT	25.00	2,350.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
70	568023	INSTALL ROADSIDE SIGN (LAMINATED WOOD BOX POST)	10.0 EA	1,400.00	14,000.00
71	620100	18" ALTERNATIVE PIPE CULVERT	400.0 LF	78.00	31,200.00
72	620140	24" ALTERNATIVE PIPE CULVERT	22.0 LF	330.00	7,260.00
73	692005	12" ENTRANCE TAPER	2.0 EA	2,300.00	4,600.00
74	705311	18" ALTERNATIVE FLARED END SECTION	1.0 EA	2,700.00	2,700.00
75	705315	24" ALTERNATIVE FLARED END SECTION	1.0 EA	2,700.00	2,700.00
76	721019	ROCK SLOPE PROTECTION (NO. 3, METHOD B) (CY)	210.0 CY	150.00	31,500.00
77	729011	ROCK SLOPE PROTECTION FABRIC (CLASS 8)	790.0 SQYD	2.50	1,975.00
78	730020	MINOR CONCRETE (CURB) (CY)	8.0 CY	1,100.00	8,800.00
79	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	30.0 CY	900.00	27,000.00
80	032110	CONTROLLED LOW STRENGTH MATERIAL	17.0 CY	1,600.00	27,200.00
81(F)	750001	MISCELLANEOUS IRON AND STEEL	1,028.0 LB	2.00	2,056.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
82	820108 (CLASS 2)	DELINEATOR	550.0 EA	40.00	22,000.00
83	820110	MILEPOST MARKER	51.0 EA	40.00	2,040.00
84	820112	MARKER (CULVERT)	75.0 EA	40.00	3,000.00
85	820151 (TYPE L-1)	OBJECT MARKER	31.0 EA	40.00	1,240.00
86	832006	MIDWEST GUARDRAIL SYSTEM (STEEL POST)	170.0 LF	22.34	3,797.80
87	032111	MIDWEST GRUADRIL SYSTEM (9' STEEL POST)	1,200.0 LF	44.67	53,604.00
88	839543	TRANSITION RAILING (TYPE WB-31)	16.0 EA	4,060.00	64,960.00
89	839581	END ANCHOR ASSEMBLY (TYPE SFT)	2.0 EA	1,015.00	2,030.00
90	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	3.0 EA	3,049.00	9,147.00
91	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	14.0 EA	2,842.00	39,788.00
92	839591	CRASH CUSHION, SAND FILLED	22.0 EA	380.00	8,360.00
93	045514 (TRANSITION)	CONCRETE BARRIER	81.0 LF	1,800.00	145,800.00

BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
94	840504	4" THERMOPLASTIC TRAFFIC STRIPE	250.0 LF	8.38	2,095.00
95	840515	THERMOPLASTIC PAVEMENT MARKING	3,800.0 SQFT	5.58	21,204.00
96	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	304,000.0 LF	0.28	85,120.00
97	846051	12" RUMBLE STRIP (ASPHALT CONCRETE PAVEMENT)	1,670.0 STA	9.76	16,299.20
98	850122	PAVEMENT MARKER (RETROREFLECTIVE-RECESSED)	4,460.0 EA	7.37	32,870.20
99	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LUMP SUM LS LS LS	LUMP SUM	5,076.00
100	870600	TRAFFIC MONITORING STATION SYSTEM	LUMP SUM LS	LUMP SUM	61,797.00
101	872130	MODIFYING EXISTING ELECTRICAL SYSTEM	LUMP SUM LS	LUMP SUM	49,882.00
102	032165	CLOSED CIRCUIT TELEVISION SYSTEM	LUMP SUM LS	LUMP SUM	64,270.00
103	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	994,992.99
Total Bid					\$9,960,960.00

Bidding Firm: Granite Construction Company

List this sub? Yes

- 1) Business Name A.C. Dike Co.  
Location City Lincoln State CA  
California Contractor License Number 407417  
Public Works Contractor Registration Number 1000005929  
Portion of Work Subcontracted:

Item %	Description
35 15	Place Shoulder Backing
47 68	Place AC Dike
48 70	Place AC Dike

List this sub? Yes

- 2) Business Name Northern Industrial Electric  
Location City Redding State CA  
California Contractor License Number 291649  
Public Works Contractor Registration Number 1000008129  
Portion of Work Subcontracted:

Item %	Description
99 100	Maintain Existing TMS
100 100	Traffic Monitoring Station
101 100	Mod Existing Electrical System
102 100	Closed Circuit Television System

List this sub? Yes

- 3) Business Name Kelly Erosion Control Inc.  
Location City Reno State NV  
California Contractor License Number 703012  
Public Works Contractor Registration Number 1000007628  
Portion of Work Subcontracted:

Item %	Description
8 100	Temp Hydro Mulch
38 100	Erosion Control (BFM)

Bidding Firm: Granite Construction Company

List this sub? Yes

- 4) Business Name Dirt & Aggregate Interchange, Inc.  
Location City Fairview State OR  
California Contractor License Number 507828  
Public Works Contractor Registration Number 1000010905  
Portion of Work Subcontracted:

Item %	Description
16 100	Treated Wood Waste
18 100	Rmv Guardrail
86 100	Midwest Guardrail System steel post
87 100	Midwest Guardrail System 9' steel post
88 100	Trans, Railing Type-WB-31

List this sub? Yes

- 5) Business Name Dirt & Aggregate Interchange, Inc.  
Location City Fairview State OR  
California Contractor License Number 507828  
Public Works Contractor Registration Number 1000010905  
Portion of Work Subcontracted:

Item %	Description
89 100	End Anchor Assembly
90 100	Alt In-line Terminal System
91 100	Alt Flared Terminal System
103 0.1	Mobe

List this sub? Yes

- 6) Business Name Truesdell Corporation  
Location City Tempe State AZ  
California Contractor License Number 615058  
Public Works Contractor Registration Number 1000007625  
Portion of Work Subcontracted:

Item %	Description
28 100	Prepare Conc Bridge Deck
29 100	Furn Poly Conc Overlay
30 100	Place Poly Conc Overlay

Bidding Firm: Granite Construction Company

List this sub? Yes

7) Business Name Harris Rebar  
Location City Carson City State NV  
California Contractor License Number 695035  
Public Works Contractor Registration Number 1000002339  
Portion of Work Subcontracted:

Item %	Description
52 28	Struct Conc Approach Slab
54 10	Paving Notch Extension
93 3	Concrete Barrier Transition

List this sub? Yes

8) Business Name T and S DVBE, Inc  
Location City Anderson State CA  
California Contractor License Number 983087  
Public Works Contractor Registration Number 100000768  
Portion of Work Subcontracted:

Item %	Description
3 43	Traffic Control
2 100	CAS
20 100	remove raodside signs
21 100	remove sign from sign frame
25 100	remove deliniator

List this sub? Yes

9) Business Name T and S DVBE, Inc  
Location City Anderson State CA  
California Contractor License Number 983087  
Public Works Contractor Registration Number 100000768  
Portion of Work Subcontracted:

Item %	Description
56 100	furn single sheet aluminum sign
57 100	furn single sheet aluminum sign
58 100	furn single sheet aluminum sign
59 100	furn single sheet aluminum sign
60 100	furn single sheet aluminum sign

Bidding Firm: Granite Construction Company

List this sub? Yes

10) Business Name T and S DVBE, Inc  
Location City Anderson State CA  
California Contractor License Number 983087  
Public Works Contractor Registration Number 100000768  
Portion of Work Subcontracted:

Item %	Description
61 100	furn single sheet aluminum sign
62 100	retroreflective sheeting
63 100	furn laminated panel sign for retroreflective
64 100	furn laminated panel sign for retroreflective
65 100	furn single sheet aluminum sign for retroreflective

List this sub? Yes

11) Business Name T and S DVBE, Inc  
Location City Anderson State CA  
California Contractor License Number 983087  
Public Works Contractor Registration Number 100000768  
Portion of Work Subcontracted:

Item %	Description
66 100	roadside sign
67 100	roadside sign
68 100	install sign
69 100	install sign
70 100	install sign

List this sub? Yes

12) Business Name T and S DVBE, Inc  
Location City Anderson State CA  
California Contractor License Number 983087  
Public Works Contractor Registration Number 100000768  
Portion of Work Subcontracted:

Item %	Description
82 100	deliniator
83 100	mile post marker
84 100	marker
85 100	object marker

Bidding Firm: Granite Construction Company

List this sub? Yes

13) Business Name Apply-A-Line  
Location City Anderson State CA  
California Contractor License Number 562762  
Public Works Contractor Registration Number 1000003300  
Portion of Work Subcontracted:

Item %	Description
19 100	rmv thermo stripe
94 100	4" thermo stripe
95 100	thermo pm
96 100	sprayable thermo
97 100	12" rumble strip ac

List this sub? Yes

14) Business Name Apply-A-Line  
Location City Anderson State CA  
California Contractor License Number 562762  
Public Works Contractor Registration Number 1000003300  
Portion of Work Subcontracted:

Item %	Description
98 100	Recessed reflectors
103 0.1	mobe

List this sub? Yes

15) Business Name Eastern Sierra Engineering  
Location City Reno State NV  
California Contractor License Number N/A  
Public Works Contractor Registration Number N/A  
Portion of Work Subcontracted:

Item %	Description
43 4	Testing
44 4	Testing
45 2	Testing
46 100	Data Core

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION  
Contract No.: 02-4E4204 Project ID: 0200020283  
Bidder Name: Granite Construction Company Bidder ID: VC0000000213  
ADDITIONAL SUBCONTRACTOR LIST 1  
DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Granite Construction Company

List this sub? Yes

16) Business Name Pavement Recycling Systems Inc.  
Location City Jurupa Valley State CA  
California Contractor License Number 569352  
Public Works Contractor Registration Number 1000003363  
Portion of Work Subcontracted:

Item	%	Description
27	28	MILLING
43	6	MILLING



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4E4204 Project ID: 0200020283

Bidder Name: Granite Construction Company

Bidder ID: VC0000000213

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: 11/02/16 Bidder's Name: Granite Construction

Signature: \_\_\_\_\_

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 02-4E4204 Project ID: 0200020283

Bidder Name: Granite Construction Company

Bidder ID: VC0000000213

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:
2. Status of Federal Action:
3. Report Type:
  - For Material Change Only:
  - Year
  - Quarter
  - Date of last report
4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:

Title:

Telephone No.:

DATE:

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Grantie Construction, proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of NV County of Washoe

Name Kyle Larkin,

being first duly sworn, deposes and says that he or she is

Regional Vice President of

Granite Construction Company

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

---

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN LASSEN AND SIERRA COUNTIES AT VARIOUS LOCATIONS In District 02 on Route 70, 395 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 11-02-16.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal: \_\_\_\_\_

Surety: \_\_\_\_\_

Address of Surety: \_\_\_\_\_

(for correspondence or claims)

By \_\_\_\_\_

Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

	<i>Insurance Document Management System 3.0</i>	<b>Questions?</b> Chat with us live! <a href="#">CLICK HERE &gt;&gt;&gt;</a>
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State of California, Department of Transportation - Chris Rice

Live chat by LivePerson

**Bond Number: SCA16612687**

**Contractor Information**

**Principal:** GRANITE CONSTRUCTION COMPANY (831)724-1011

**Address:** P.O. Box 50085 585 West Beach Street Watsonville California 95077 United States

**Contractor's State Vendor ID Number:** VC0000000213

**Owner/Obligee Information**

**Bond Form:** Bid Bond in accordance with Contract Specifications

**Owner / Obligee:** State of California, Department of Transportation

**Address:** 1727 30th Street, Bidders` Exchange, MS 26 Sacramento California 95816 United States

**Bond Information**

**Surety:** Federal Insurance Company

**Rider Present:** [Click here to view](#)

**Amount of Bid Security:** Ten Percent (10%) of Bid Amount

**Contract ID Number:** 02-4E4204

**Description of Job:** Paving

[View AMBest Information](#)   [View Surety Profile](#)   [Treasury List](#)

**Primary Agency:**

Alliant Insurance Services, Inc.

Power of Attorney Limited to: unlimited

**Executed**

**Executed By:**

Ashley Stinson - 11/2/2016 11:37:51 AM ET 

Phone: 310-990-9624

Email: Ashley.Stinson@gcinc.com

Know all men by these presents that Federal Insurance Company, a Corporation duly organized under the laws of the State of Indiana, are held and firmly bound unto the above owner/obligee by this transmission. The surety agrees to waive the Statute of Fraud defense and further agrees that the owner/obligee is a third party beneficiary of the waiver for the purposes of enforcing this bid bond.

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